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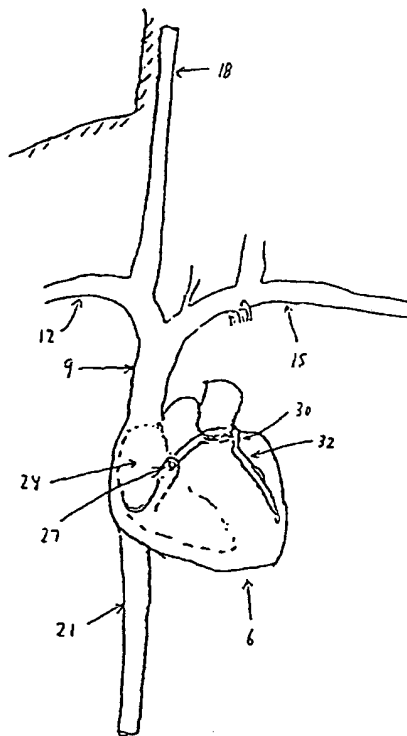
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(54) Title: METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION



(57) Abstract: An apparatus for reducing mitral regurgitation includes a delivery catheter extendable through a scular system of a patient and into a coronary sinus proximate a posterior leaflet of a mitral valve, the catheter having at a distal end thereof a flexible tubular extension for engaging an opening of the coronary sinus and entering the coronary sinus, a substantially rigid body for advancement through the delivery catheter and to be positioned in the coronary sinus proximate the posterior leaflet, and an elongated rod for advancing the body through the catheter and into position proximate the posterior leaflet.

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METHOD AND APPARATUS FOR  
IMPROVING MITRAL VALVE FUNCTION

Reference To Pending Prior Patent Applications

5           This patent application:

          (1) is a continuation-in-part of pending prior  
U.S. Patent Application Serial No. 10/068,264, filed  
02/05/02 by Daniel C. Taylor et al. for METHOD AND  
APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION

10           (Attorney's Docket No. VIA-29);

          (2) is a continuation-in-part of pending prior  
U.S. Patent Application Serial No. 10/112,354, filed  
03/29/02 by John Liddicoat et al. for METHOD AND  
APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION

15           (Attorney's Docket No. VIA-19202122);

          (3) claims benefit of pending prior U.S.  
Provisional Patent Application Serial No. 60/312,217,  
filed 08/14/01 by Daniel C. Taylor et al. for METHOD  
AND APPARATUS FOR TEMPORARY IMPROVEMENT IN MITRAL  
20           VALVE FUNCTION (Attorney's Docket No. VIA-23 PROV);

(4) claims benefit of pending prior U.S.  
Provisional Patent Application Serial No. 60/339,481,  
filed 10/26/01 by William E. Cohn et al. for  
TRANSVASCULAR APPROACH TO MITRAL VALVE PROCEDURES  
(Attorney's Docket No. VIA-30 PROV); and

(5) claims benefit of pending prior U.S.  
Provisional Patent Application Serial No. 60/348,424,  
filed 01/14/02 by Daniel C. Taylor et al. for METHOD  
AND APPARATUS TO IMPROVE MITRAL VALVE FUNCTION  
(Attorney's Docket No. VIA-31 PROV).

The aforementioned five (5) patent applications  
are hereby incorporated herein by reference.

#### Field Of The Invention

This invention relates to surgical methods and  
apparatus in general, and more particularly to  
surgical methods and apparatus for improving mitral  
valve function.

Background Of The Invention

Mitral valve repair is the procedure of choice to correct mitral regurgitation of all etiologies. With the use of current surgical techniques, between 70% and 95% of regurgitant mitral valves can be repaired. The advantages of mitral valve repair over mitral valve replacement are well documented. These include better preservation of cardiac function and reduced risk of anticoagulant-related hemorrhage, thromboembolism and endocarditis.

In current practice, mitral valve surgery requires an extremely invasive approach that includes a chest wall incision, cardiopulmonary bypass, cardiac and pulmonary arrest, and an incision on the heart itself to gain access to the mitral valve. Such a procedure is associated with high morbidity and mortality. Due to the risks associated with this procedure, many of the sickest patients are denied the potential benefits of surgical correction of mitral regurgitation. In addition, patients with moderate, symptomatic mitral regurgitation are denied early

intervention and undergo surgical correction only after the development of cardiac dysfunction.

Mitral regurgitation is a common occurrence in patients with heart failure and a source of important morbidity and mortality in these patients. Mitral regurgitation in patients with heart failure is caused by changes in the geometric configurations of the left ventricle, papillary muscles and mitral annulus. These geometric alterations result in incomplete coaptation of the mitral leaflets during systole. In this situation, mitral regurgitation is corrected by plicating the mitral valve annulus, either by sutures alone or by sutures in combination with a support ring, so as to reduce the circumference of the distended annulus and restore the original geometry of the mitral valve annulus.

More particularly, current surgical practice for mitral valve repair generally requires that the mitral valve annulus be reduced in radius by surgically opening the left atrium and then fixing sutures, or more commonly sutures in combination with a support

ring, to the internal surface of the annulus; this structure is used to pull the annulus back into a smaller radius, thereby reducing mitral regurgitation by improving leaflet coaptation.

5           This method of mitral valve repair, generally termed "annuloplasty", effectively reduces mitral regurgitation in heart failure patients. This, in turn, reduces symptoms of heart failure, improves quality of life and increases longevity.

10       Unfortunately, however, the invasive nature of mitral valve surgery and the attendant risks render most heart failure patients poor surgical candidates. Thus, a less invasive means to increase leaflet coaptation and thereby reduce mitral regurgitation in

15       heart failure patients would make this therapy available to a much greater percentage of patients.

          Mitral regurgitation also occurs in approximately 20% of patients suffering acute myocardial infarction. In addition, mitral regurgitation is the primary cause

20       of cardiogenic shock in approximately 10% of patients who develop severe hemodynamic instability in the

setting of acute myocardial infarction. Patients with mitral regurgitation and cardiogenic shock have about a 50% hospital mortality. Elimination of mitral regurgitation in these patients would be of significant benefit. Unfortunately, however, patients with acute mitral regurgitation complicating acute myocardial infarction are particularly high-risk surgical candidates, and are therefore not good candidates for a traditional annuloplasty procedure. Thus, a minimally invasive means to effect a temporary reduction or elimination of mitral regurgitation in these critically ill patients would afford them the time to recover from the myocardial infarction or other acute life-threatening events and make them better candidates for medical, interventional or surgical therapy.

#### Summary Of The Invention

As a result, one object of the present invention is to provide an improved method and apparatus for reducing mitral regurgitation.



Another object of the present invention is to provide a method and apparatus for reducing mitral regurgitation which is minimally invasive.

Another object of the present invention is to provide a method and apparatus for reducing mitral regurgitation which can be deployed either permanently (e.g., for patients suffering from heart failure) or temporarily (e.g., for patients suffering from mitral regurgitation with acute myocardial infarction).

These and other objects are addressed by the present invention, which comprises an improved method and apparatus for reducing mitral regurgitation.

In one form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to straighten the natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, whereby to move the

posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to move at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve anteriorly, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting apparatus into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to reduce the degree of natural curvature of at least a portion of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve,

whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting apparatus into the coronary  
5 sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus being adapted to increase the natural radius of curvature of at least a portion of the coronary sinus in the  
10 vicinity of the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting apparatus into the coronary  
15 sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the apparatus having a distal end, a proximal end and an intermediate portion, the apparatus being configured so that when  
20 the apparatus is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral

valve, the distal and proximal ends will apply a posteriorly-directed force to the walls of the coronary sinus and the intermediate portion will apply an anteriorly-directed force to the walls of the coronary sinus, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting a substantially straight elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the substantially straight elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially straight elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the

radius of curvature of the mitral annulus and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting a substantially rigid elongated body into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the substantially rigid elongated body being configured relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a different configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided a method for reducing mitral regurgitation comprising: inserting a straight, substantially rigid elongated body into the coronary sinus of a patient in

the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the straight, substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus and thereby improve leaflet coaptation.

In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising: a body having a distal end, a proximal end and an intermediate portion, the body being configured so that when the body is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the distal and proximal ends will apply a posteriorly-directed force to the walls of the coronary sinus, and the intermediate

portion will apply an anteriorly-directed force to the walls of the coronary sinus, whereby to move the posterior annulus of the mitral valve anteriorly and thereby improve leaflet coaptation.

5           In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising: a substantially straight elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the  
10           posterior leaflet of the mitral valve, the length of the substantially straight elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially straight  
15           elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral  
20           annulus, moving it anteriorly, and thereby improve leaflet coaptation.

In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising: a substantially rigid elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a different configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

In another form of the invention, there is provided an apparatus for reducing mitral regurgitation comprising: a straight, substantially rigid elongated body adapted to be inserted into the coronary sinus of a patient in the vicinity of the



posterior leaflet of the mitral valve, the length of the straight, substantially rigid elongated body being sized relative to the natural curvature of the coronary sinus in the vicinity of the posterior leaflet of the mitral valve so that when the straight, substantially rigid elongated body is positioned in the coronary sinus, it will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to increase the radius of curvature of the mitral annulus, moving it anteriorly, and thereby improve leaflet coaptation.

Significantly, the present invention may be practiced in a minimally invasive manner, either permanently or temporarily, so as to reduce mitral regurgitation.

#### Brief Description Of The Drawings

These and other objects and features of the present invention will be more fully disclosed or rendered obvious by the following detailed description

of the preferred embodiments of the invention, which is to be considered together with the accompanying drawings wherein like numbers refer to like parts and further wherein:

5           Fig. 1 is a schematic view of portions of the human vascular system;

          Fig. 2 is a schematic view of portions of the human heart;

          Fig. 3 is a schematic view of a preferred system  
10       formed in accordance with the present invention;

          Figs. 4-7 are a series of views illustrating use of the system of Fig. 3 to reduce mitral regurgitation;

          Fig. 8 shows an alternative form of delivery  
15       catheter;

          Fig. 9 shows an alternative form of flexible push rod;

          Fig. 9A shows another alternative form of the present invention;

20       Fig. 9B is a diagrammatic side elevational partly sectioned view of a further flexible push rod;

Figs 9C and 9D are similar to Fig. 9B and showing alternative embodiments of flexible push rod;

Fig. 9E is a generally side elevational view of a flexible guiding catheter;

5 Figs. 10 and 11 show alternative constructions for the straight, substantially rigid elongated body;

Fig. 11A illustrates another aspect of the present invention;

10 Fig. 12 shows an alternative system formed in accordance with the present invention;

Fig. 13 shows use of the system shown in Fig. 12;

Figs. 14-16 illustrate another aspect of the present invention;

15 Fig. 16A illustrates another aspect of the present invention;

Fig. 16B illustrates still another aspect of the present invention;

Figs. 17-20 illustrate still other aspects of the present invention;

20 Figs. 21-24 illustrate other aspects of the present invention;

Figs. 25-27 illustrate another form of the present invention;

Figs. 28-32 illustrate the embodiment of Figs. 25-27 in use;

5 Figs. 32A-32C illustrate another aspect of the present invention;

Figs. 32D and 32E illustrate another aspect of the present invention;

10 Figs. 33 and 34 illustrate another form of the present invention;

Figs. 35-37 illustrate the embodiment of Figs. 33 and 34 in use;

Figs. 37A-37C illustrate another aspect of the present invention;

15 Figs. 37D and 37E illustrate another aspect of the present invention;

Figs. 37F-37I illustrate another aspect of the present invention;

20 Figs. 37J and 37K illustrate yet another aspect of the present invention; Fig. 38 illustrates another form of the present invention;

Figs. 39 and 40 illustrate the embodiment of Fig. 38 in use;

Fig. 41 and 42 illustrate yet another form of the present invention; and

5 Fig. 43 and 44 illustrate still another aspect of the present invention.

#### Detailed Description Of The Preferred Embodiments

The coronary sinus is the largest vein in the human heart. During a large portion of its course in the atrioventricular groove, the coronary sinus typically extends adjacent to the left atrium of the heart for a distance of approximately 5 to 10 centimeters. Significantly, for a portion of its length, e.g., typically approximately 7-9 cm, the coronary sinus extends substantially adjacent to the posterior perimeter of the mitral annulus. The present invention takes advantage of this consistent anatomic relationship. More particularly, by deploying novel apparatus in the coronary sinus, adjacent to the posterior leaflet of the mitral valve,

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the natural curvature of the coronary sinus may be modified in the vicinity of the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly so as to improve leaflet coaptation and, as a result, reduce mitral regurgitation.

In one preferred embodiment of the invention, the novel apparatus comprises a straight, substantially rigid elongated body, the length of the straight, substantially rigid elongated body being sized so that when the straight, substantially rigid body is positioned in the coronary sinus in the vicinity of the posterior leaflet of the mitral valve, the straight, substantially rigid elongated body will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve, whereby to move the posterior annulus anteriorly and thereby improve leaflet coaptation.

And in one preferred embodiment of the invention, access to the coronary sinus is gained percutaneously, e.g., the straight, substantially rigid elongated body

is introduced into the patient's vascular system via the jugular vein or via the left subclavian vein, passed down the superior vena cava, passed through the right atrium and then passed into the coronary sinus, where it is deployed. Alternatively, the straight, substantially rigid elongated body may be introduced into the coronary sinus through a small incision in the heart, or through some other incision into the patient's vascular system.

And in one preferred embodiment of the invention, the straight, substantially rigid elongated body is guided into position by (i) passing it through a pre-positioned catheter, or (ii) passing it over a pre-positioned guidewire, or (iii) passing it guide-free (e.g., on the end of a steerable delivery tool) to the surgical site.

Once deployed, the novel apparatus may be left in position permanently (e.g., in the case of patients suffering from mitral regurgitation associated with heart failure) or the novel apparatus may be left in position only temporarily (e.g., in the case of

patients suffering from mitral regurgitation associated with acute myocardial infarction).

Visualization of the procedure may be obtained by fluoroscopy, echocardiography, intravascular  
5 ultrasound, angioscopy, real-time magnetic resonance imaging, etc. The efficacy of the procedure may be determined through echocardiography, although other imaging modalities may also be suitable.

Looking now at Figs. 1 and 2, there are shown  
10 aspects of the cardiovascular system 3 of a patient. More particularly, cardiovascular system 3 generally comprises the heart 6, the superior vena cava 9 (Fig. 1), the right subclavian vein 12, the left subclavian vein 15, the jugular vein 18, and the inferior vena  
15 cava 21. Superior vena cava 9 and inferior vena cava 21 communicate with the heart's right atrium 24 (Figs. 1 and 2). The coronary ostium 27 leads to coronary sinus 30. At the far end 31 (Fig. 2) of coronary sinus 30, the vascular structure turns into the  
20 vertically-descending anterior interventricular vein ("AIV") 32 (Fig. 1). For purposes of the present



invention, it can generally be convenient to consider the term "coronary sinus" to mean the vascular structure extending between coronary ostium 27 and AIV 32.

5           As seen in Fig. 2, between coronary ostium 27 and AIV 32, coronary sinus 30 generally extends substantially adjacent to the posterior perimeter of the annulus 33 of the mitral valve 36. Mitral valve 36 comprises a posterior leaflet 39 and an anterior  
10           leaflet 42. In the case of a regurgitant mitral valve, posterior leaflet 39 and anterior leaflet 42 will generally fail to properly coapt at systole, thereby leaving an intervening gap 45 which will permit regurgitation.

15           Looking next at Fig. 3, there is shown a system 100 which comprises one preferred embodiment of the present invention. More particularly, system 100 generally comprises a guidewire 103, a delivery catheter 106 and a push rod 109.

20           Guidewire 103 comprises a flexible body 112 having a distal end 115 and a proximal end 118. The

distal end 115 of guidewire 103 preferably includes a spring tip 121 for allowing the distal end of guidewire 106 to atraumatically traverse vascular structures, i.e., while the guidewire is being passed  
5 through the vascular system of a patient.

Delivery catheter 106 comprises a flexible body 124 having a distal end 127 and a proximal end 130, preferably with an adjustable valve 133 attached. A central lumen 136 extends from distal end 127 to  
10 proximal end 130. In some circumstances it may be desirable to provide a securing mechanism for securing the distal end of the delivery catheter within a vascular structure. By way of example but not limitation, a balloon 139 may be positioned about the  
15 exterior of flexible body 124, just proximal to distal end 127, with an inflation lumen 142 extending between balloon 139 and an inflation fitting 145.

Push rod 109 comprises a flexible body 148 having a distal end 151 and a proximal end 154. A straight, substantially rigid elongated body 157, which may have  
20 a variety of different lengths, is formed on flexible

body 148, proximal to distal end 151. A removable proximal stiffener or handle 160 may be placed between straight, substantially rigid elongated body 157 and proximal end 154.

5           System 100 may be used as follows to reduce mitral regurgitation.

          First, distal end 115 of guidewire 103 is passed down the jugular vein 18 (or the left subclavian vein 15) of a patient, down superior vena cava 9, through  
10 right atrium 24 of the heart, and then into coronary sinus 30. See Fig. 4. It will be appreciated that as flexible guidewire 103 is passed down coronary sinus 30, the guidewire will tend to assume the natural curved shape of the coronary sinus, due to the  
15 flexible nature of the guidewire. The guidewire's atraumatic spring tip 121 will help ensure minimal damage to vascular structures as guidewire 103 is maneuvered into position.

          Next, distal end 127 of delivery catheter 106 is  
20 placed over proximal end 118 of guidewire 103 and passed down the guidewire until the distal end of the

delivery catheter is positioned in coronary sinus 30.  
See Fig. 5. Again, it will be appreciated that as the  
flexible delivery catheter 106 passes down the  
coronary sinus, the delivery catheter will tend to  
5 assume the natural curved shape of the coronary sinus,  
due to the flexible nature of the delivery catheter.

Once delivery catheter 106 has been positioned  
within the coronary sinus, guidewire 103 is removed.  
See Fig. 6. Either before or after guidewire 103 is  
10 removed, balloon 139 may be inflated so as to secure  
distal end 127 of delivery catheter 106 in position  
within coronary sinus 30.

Next, push rod 109 is passed down the central  
lumen 136 of delivery catheter 106. As the push rod's  
15 straight, substantially rigid elongated body 157 is  
passed down central lumen 136 of delivery catheter  
106, it will force the delivery catheter to assume a  
straight configuration at the point where the  
straight, substantially rigid elongated body 157  
20 currently resides. As push rod 109 is pushed down  
delivery catheter 106, balloon 139 will hold the

distal end of the delivery catheter in position within coronary sinus 30.

5           Push rod 109 is pushed down delivery catheter 106, utilizing removable proximal stiffener 160 as needed, until the straight, substantially rigid elongated body 157 is located adjacent to the posterior annulus of mitral valve 36. See Fig. 7. As this occurs, the presence of the straight, substantially rigid elongated body 157 in delivery  
10 catheter 106 will cause at least a portion of coronary sinus 30 to assume a substantially straight configuration at this point, so that the posterior annulus of mitral valve 36 is forced anteriorly. This will cause the mitral valve's posterior leaflet 39 to  
15 also move anteriorly so as to improve mitral valve leaflet coaptation and thereby reduce (or completely eliminate) mitral valve regurgitation. In this respect it should be appreciated that the posterior annulus may be shifted anteriorly so as to achieve, or  
20 to attempt to achieve to the extent anatomically possible, leaflet-to-leaflet engagement or

leaflet-to-annulus engagement (e.g., where a leaflet may be tethered due to left ventricular distortion). Both of these types of engagement, or targeted engagement, are intended to be encompassed by the terms "improved leaflet coaptation" and/or "increased leaflet coaptation" and the like. Using standard visualization means (e.g. echocardiography or fluoroscopy), the exact position of the straight, substantially rigid elongated body 157 is adjusted so as to reduce (or completely eliminate) regurgitation in mitral valve 36.

In this respect it should be appreciated that the straight, substantially rigid elongated body 157 is preferably sized to be somewhat less than the length of the coronary sinus between coronary ostium 27 and AIV 32. However, in some circumstances it may be desirable to size the straight, substantially rigid elongated body 157 so that it will extend out of the coronary sinus and into the right atrium.

Furthermore, it should also be appreciated that the system provides a degree of tactile feedback to

the user during deployment. More particularly, substantial resistance will typically be encountered as the straight, substantially rigid elongated body 157 is pushed out of right atrium 24 and into coronary sinus 30; then resistance will typically drop as body 157 is moved through the coronary sinus; and then resistance will typically increase significantly again as the distal tip of body 157 comes to the far end 31 of the coronary sinus. Thus, there is a sort of tactile "sweet spot" when the straight, substantially rigid elongated body 157 is located in the coronary sinus between coronary ostium 27 and AIV 32, and this tactile "sweet spot" can be helpful to the user in positioning the straight, substantially rigid elongated body 157 in coronary sinus 30.

At this point the straight, substantially rigid elongated body 157 is locked in position, e.g., by closing adjustable valve 133, and balloon 139 may be deflated.

System 100 is left in this position until it is no longer needed. In some cases this may mean that

system 100 is left in position for a period of a few hours, days or weeks; in other cases system 100 may be substantially permanent. If and when system 100 is to be removed, push rod 109 is removed from delivery catheter 106, and then delivery catheter 106 is removed from the patient.

Thus it will be seen that with the present invention, the straight, substantially rigid elongated body 157 is essentially force-fit into the normally curved portion of the coronary sinus adjacent to the mitral valve's posterior leaflet. By properly sizing the length of the straight, substantially rigid elongated body 157 relative to the natural curvature of the patient's anatomy, and by properly positioning the straight, substantially rigid elongated body 157 in the patient's coronary sinus, the straight, substantially rigid elongated body will cause at least a portion of the coronary sinus to assume a substantially straight configuration adjacent to the posterior leaflet of the mitral valve. This action will in turn drive the posterior annulus of the mitral



valve anteriorly, so as to improve leaflet coaptation and thereby reduce mitral regurgitation. Thus, by inserting the straight, substantially rigid elongated body 157 into the coronary sinus adjacent to the posterior leaflet of the mitral valve, the annulus of the mitral valve is effectively manipulated so that it will assume an increased radius of curvature.

It has also been found that by inserting the straight, substantially rigid elongated body into the coronary sinus adjacent to the posterior leaflet of the mitral valve, the left ventricle may also be remodeled so as to help alleviate congestive heart failure.

It is significant to note that with the present invention, the distal and proximal ends of straight, substantially rigid elongated body 157 apply a posteriorly-directed force on the walls of coronary sinus 30 (e.g., as shown with arrows P in Fig. 7) while the intermediate portion of straight, substantially rigid elongated body 157 applies an

anteriorly-directed force on the walls of coronary sinus 30 (e.g., as shown with arrows A in Fig. 7).

In some cases the proximal end 130 of delivery catheter 106 may be fixed to the patient's outer skin using standard patient care methods such as adhesive tape, pursestring sutures, skin staples, etc. In other cases proximal end 130 of delivery catheter 106 may include a sewing cuff whereby the delivery catheter may be secured to the patient's tissue by suturing. See, for example, Fig. 8, where a sewing cuff 166 is shown attached to the proximal end 130 of delivery catheter 106. If desired, an element 169 may be provided proximal to adjustable valve 133, whereby flexible push rod 109 may be made fast to delivery catheter 106. By way of example, element 169 may comprise a crimpable element to secure flexible push rod 109 to delivery catheter 106, which is in turn secured to the patient. If desired, the proximal end of the assembly may be embedded under the skin of the patient, e.g., in the case of a permanent implant.

As noted above, it can be helpful to anchor the distal end of delivery catheter 106 in position within the coronary sinus prior to pushing push rod 109 into the delivery catheter. Such an arrangement will keep  
5 the delivery catheter in place as the push rod makes the turn within the right atrium and enters the coronary sinus. In the absence of such anchoring, the push rod may drive the delivery catheter down the inferior vena cava 21. By securing the distal end of  
10 delivery catheter 106 to the walls of coronary sinus 30, the delivery catheter can be stabilized against diversion down the inferior vena cava 21 when the straight, substantially rigid elongate body 157 encounters initial resistance to making the turn into  
15 the coronary sinus.

The balloon 139 is one way of accomplishing such anchoring. However, it is also possible to utilize other types of securing mechanisms to anchor the distal end 127 of delivery catheter 106 in position  
20 within coronary sinus 30, e.g., spring clips, ribs, etc.

Alternatively, and looking next at Fig. 9, the distal end 151 of push rod 109 may itself be provided with a distal anchor, e.g., such as the distal anchor 172 shown in Fig. 9.

5           It is also possible to prevent diversion of delivery catheter 106 down inferior vena cava 21 without anchoring the distal end of delivery catheter 106 or flexible push rod 109 to the walls of the coronary sinus. More particularly, and looking now at  
10           Fig. 9A, there is shown a support catheter 173 which is formed out of a more rigid material than delivery catheter 106. Support catheter 173 is constructed so that its distal end 174 can be positioned in coronary ostium 27 and then its sidewall 174A can support  
15           delivery catheter 106 adjacent to inferior vena cava 21 when push rod 109 is passed down delivery catheter 106, whereby to prevent delivery catheter 106 from diverting down inferior vena cava 106. Fig. 9A also shows an introducer catheter 174B at the entrance to  
20           jugular vein 18.

Locking next at Fig. 9B, there is shown a push rod 112A which comprises an alternative form of push rod. Push rod 112A comprises a flexible body 148A having a distal end 151A and a proximal end 154A. Preferably flexible body 148A is formed out of a superelastic shape memory alloy such as Nitinol. A straight, substantially rigid member 157A is formed on body 148A, proximal to distal end 151A. Substantially rigid member 157A can have a variety of different lengths so as to accommodate different patient anatomies. A tube 158A is positioned concentrically over flexible body 148A and extends for at least part of the distance between substantially rigid member 157A and a locking collar 159A secured to proximal end 154A of flexible body 148A. Tube 158A serves as a stiffener or reinforcer for flexible body 148A, whereby flexible body 148A can have the flexibility required (particularly at its distal end) to traverse tortuous vascular passages, yet have the column strength (particularly at its proximal end) to advance flexible body 148A by pushing. In addition, tube 158A

can be sized so as to have a diameter just slightly smaller than the internal diameter of delivery catheter 106, whereby to further support flexible body 148A. In one preferred form of the invention, tube 158A preferably comprises a PEEK tube.

Looking next at Fig. 9C, flexible body 148A can also be necked down, e.g., as shown at 220, so as to further increase the flexibility of flexible body 148A distal to tube 158A.

Flexible push rod 112A is preferably a device with a series of changes in stiffness and flexibility that allows the device to be bending flexible and column strength sufficient to pass through an arduous path, such as the vascular system, yet have specific areas of stiffness to reduce mitral valve regurgitation by pushing the posterior annulus anteriorly and thus closing the gap between the anterior and posterior leaflets of the mitral valve. One preferred embodiment of this device is a single rod of superelastic material, such as Nitinol, that has a plurality of changes in diameter that allows for

bending flexibility. The largest diameter section has a bending stiffness sufficient to push the posterior annulus anteriorly. The longest portions of the rod have many serrations that provide bending flexibility along with column strength.

Alternatively, and looking next at Fig. 9D, it is also possible to provide additional flexibility to flexible body 148A by severing the flexible body along its length and then connecting the two severed portions with a flexible tube or wire, e.g., such as is shown at 225.

In addition to the foregoing, in an alternative embodiment as shown in Figure 9E, it is also possible to use guiding catheter 162, preferably with a pre-formed tip 164, which could be advanced over guidewire 103 whereby preformed tip 164 of guiding catheter 162 would engage the opening of the coronary sinus vessel. This tip 164 is sufficiently flexible to execute extreme bends, and may be of reduced diameter. Upon successful engagement and advancement of the guiding catheter tip 164 a short distance into the coronary

sinus, guidewire 103 is removed. Then delivery catheter 106 is advanced toward and into the coronary sinus through the center lumen 165 of guiding catheter 162. Then, push rod 109 would be advanced within center lumen 136 of the delivery catheter into the coronary sinus and into position as described herein.

It is also envisioned that the structure of guiding catheter 162 would be added onto delivery catheter 106 to enable the placement of the distal tip of delivery catheter 106 into the coronary sinus and enable the delivery of push rod 109, thereby combining the function of the two catheters into one catheter with only the center lumen 136 within the combined guide and delivery catheter 106 and a closed distal tip (i.e. single lumen catheter).

As noted above, as push rod 109 or 112A is advanced to the region adjacent to the posterior annulus of the mitral valve, the straight, substantially rigid elongated body 157 or 157A will distort the natural configuration of the coronary sinus so that it will assume a substantially straight



configuration. While this action induces the desired valve remodeling, it can also induce a significant stress on the walls of the coronary sinus, particularly at the distal and proximal ends of the straight, substantially rigid elongated body 157 or 157A, where stress will be concentrated. To this end, the construction of the straight, substantially rigid elongated body 157 or 157A may be modified somewhat so as to better distribute this stress. More particularly, and looking next at Fig. 10, the distal and proximal ends of straight, substantially rigid elongated body 157 may include relatively flexible portions 175 to help better distribute the stress exerted on the walls of the coronary sinus. Additionally, and/or alternatively, any taper applied to the distal and proximal ends of straight, substantially rigid elongated body 157 may be elongated, e.g., such as shown at 178 in Fig. 11, so as to better distribute the stress imposed on the walls of the coronary sinus.

In the preceding discussion of system 100, push rod 109 is described as being inserted to the surgical site through the insertion cannula 106 and remaining within insertion cannula 106 while at the surgical site and, when push rod 109 is to be removed, removing push rod 109 and then surgical cannula 106. However, if desired, once push rod 109 has been deployed at the surgical site, insertion cannula 106 may then be removed, leaving just push rod 109 at the surgical site. See, for example, Fig. 11A.

It is also possible to advance push rod 109 directly to the surgical site without passing it through an insertion cannula; in this case push rod 109 would be advanced on its own through the intervening vascular structure until it is deployed in coronary sinus 30.

Looking next at Fig. 12, there is shown a system 181 which comprises another preferred embodiment of the present invention. More particularly, system 181 generally comprises the guidewire 103, a straight,

substantially rigid elongated body 184 and a push cannula 187.

Guidewire 103 is as previously described.

5       Straight, substantially rigid elongated body 184, which may have a variety of different lengths, comprises a distal end 188 and a proximal end 190. A central lumen 193 extends between distal end 188 and proximal end 190. Central lumen 193 accommodates guidewire 103.

10       Push cannula 187 comprises a distal end 194 and a proximal end 196. A central lumen 199 extends between distal end 194 and proximal end 196. Central lumen 199 accommodates guidewire 103.

15       As a result of this construction, elongated body 184 and push cannula 187 may be mounted on guidewire 103, and push cannula 187 may be used to push elongated body 184 down guidewire 103. See Fig. 13.

System 181 may be used as follows to reduce mitral regurgitation.

20       First, distal end 115 of guidewire 103 is passed down jugular vein 18 (or the left subclavian vein 15)

of a patient, down superior vena cava 9, through right atrium 24 of the heart, and into coronary sinus 30 (Fig. 14). It will be appreciated that as flexible guidewire 103 is passed down coronary sinus 30, the guidewire will tend to assume the natural curved shape of the coronary sinus, due to the flexible nature of the guidewire. The guidewire's atraumatic spring tip 121 will help minimize damage to vascular structures as the guidewire is advanced into position.

Next, distal end 188 of straight, substantially rigid elongated body 184 is placed over proximal end 118 of guidewire 103 and passed a short distance down the guidewire. Then the distal end 194 of push cannula 187 is placed over proximal end 118 of guidewire 103, and then push cannula 187 is advanced down the guidewire. As push cannula 187 is advanced down the guidewire, its distal end 194 pushes the straight, substantially rigid elongated body 184 ahead of it. See Fig. 15.

As the straight, substantially rigid elongated body 184 is passed down the coronary sinus, it will

force the coronary sinus to assume a straight configuration at the point where the straight, substantially rigid elongated body 184 currently resides. Push cannula 187 is pushed down guidewire as  
5 needed, until the straight, substantially rigid elongated body 184 is located adjacent to the posterior annulus of the mitral valve. See Fig. 16. As this occurs, the presence of the straight, substantially rigid elongated body 184 in the coronary  
10 sinus will cause coronary sinus to assume a substantially straight configuration at this point, so that the posterior annulus of the mitral valve is forced anteriorly. This will cause the posterior mitral valve leaflet to also move anteriorly so as to  
15 improve leaflet coaptation and thereby reduce (or completely eliminate) mitral valve regurgitation. Using standard visualization means (e.g. echocardiography or fluoroscopy), the exact position of the straight, substantially rigid elongated body  
20 may be adjusted so as to reduce (or completely eliminate) regurgitation in the mitral valve.

If desired, the push cannula 187 may be provided with a releasably attachable interface (e.g., a grasper) so that it may releasably secure the proximal end 190 of the straight, substantially rigid elongated body 184. Such a feature will permit the straight, substantially rigid elongated body to be pulled backward within the coronary sinus, either for positioning or removal purposes.

Where elongated body 184 is to be left within the body for a substantial period of time, it is possible to leave the apparatus in the position shown in Fig. 16, i.e., with elongated body 184 fit over guidewire 103 and at the end of push cannula 187.

Alternatively, guidewire 103 and/or push cannula 187 may be removed, leaving just elongated body 184 deployed at the surgical site (Fig. 16A). To the extent that elongated body 184 may be left by itself at the surgical site, it may be desirable to provide elongated body 184 with an eyelet or hook or other graspable feature G (Fig. 16B) such that a retriever R

may thereafter be used to easily grapple and extract the elongated body 184 from the surgical site.

Elongated body 157 and/or elongated body 184 may have any of a variety of non-straight shapes along its length. For example, the elongated body may be wavy, spiraled, or curved along all or a portion of its length. By way of example, elongated body 157 and/or 184 may have a curved configuration so as to invert the natural curvature of the coronary sinus, i.e., so that it is bowed towards the anterior annulus. Or the elongated body may have a compound shape along its length, e.g., it may have a sort of "w" shape, with the center of the "w" being directed towards the anterior annulus. See, for example, Fig. 17, which shows a push rod 109 having an elongated body 157 with a "w" type of shape; and see Fig. 18, which shows an elongated body 184 with a "w" type of shape. See also Figs. 19 and 20, which show a "w" shaped elongated body 184 being advanced down guidewire 103 (Fig. 19) to a position adjacent to mitral valve 36 (Fig. 20), whereby to reduce mitral regurgitation. Any of the

aforementioned elongated body shapes, or other alternative shapes, may effect the anterior displacement of the posterior annulus that results in reduction of the mitral valve regurgitation.

5           It is preferable that use of the present

invention not result in occlusion of coronary sinus

30. Thus, with system 100 shown in Fig. 3, delivery

catheter 106 is preferably sized so as to have a

diameter less than the diameter of coronary sinus 30,

10       so that blood may flow about the perimeter of delivery

catheter 106 when delivery catheter 106 is disposed in

coronary sinus 30. Alternatively, and/or

additionally, and looking now at Figs. 21 and 22,

delivery catheter 106 may be provided with one or more

15       longitudinally-extending surface grooves SG so as to

facilitate blood flow past the perimeter of delivery

catheter 106. Similarly, with system 181 shown in

Fig. 12, elongated body 184 is preferably sized so as

to have a diameter less than the diameter of coronary

20       sinus 30, so that blood may flow about the perimeter

of elongated body 184 when elongated body 184 is



disposed in coronary sinus 30. Alternatively, and/or additionally, and looking now at Figs. 23 and 24, elongated body 184 may be provided with one or more longitudinally-extending surface grooves SG so as to facilitate blood flow past the perimeter of elongated body 184.

In system 100 (Fig. 3) and in system 181 (Fig. 12), the elongated bodies 157 and 184 are shown completely formed prior to their deployment in the patient. However, it is also possible to form elongated body 157 and/or elongated body 184 in situ from a plurality of smaller elements.

Thus, for example, in Figs. 25-27 there is shown an alternative form of push rod 109 for use with guidewire 103 and delivery catheter 106. More particularly, push rod 109 comprises flexible body 148 and a plurality of substantially rigid elongated elements 157A, 157B, 157C, etc. which collectively form the complete elongated body 157. Preferably the distalmost elongated element 157A is fixed to flexible body 148 while the remaining elongated elements 157B,

157C, 157D, etc. are free to slide on flexible body  
148. In addition, elongated elements 157A, 157B,  
157C, etc. preferably include connectors C for  
permitting one elongated element to be secured to a  
5 neighboring elongated body. The connectors C shown in  
Fig. 25 comprise male and female screw type  
connectors; however, other types of connectors may  
also be used.

By assembling the elongated body 157 in situ  
10 using a plurality of elongated elements 157A, 157B,  
157C, etc., it is possible to create an elongated body  
157 which is perfectly sized to the needs of the  
patient.

The push rod 109 shown in Figs. 25-27 may be used  
15 as follows. First, guidewire 103 is passed down to  
the coronary sinus (Fig. 28). Then delivery catheter  
106 is passed down guidewire 103 and into the coronary  
sinus (Figs. 28 and 29). Then the guidewire 103 is  
withdrawn from the surgical site and replaced by the  
20 push rod's flexible body 148 with elongated element  
157A attached (Fig. 30). Next, a plurality of

elongated elements 157B, 157C, 157D, etc. are slid  
down flexible body 148 (Fig. 31) and secured to  
elongated element 157A (and any preceding elongated  
element). As many elongated elements 157A, 157B,  
5 157C, etc. are used as is necessary to effect the  
desired leaflet coaptation (Fig. 32).

In Figs. 32A-32C, there is shown another form of  
push rod 109. More particularly, with this form of  
the push rod, elongated body 157 is formed by a  
10 plurality of elongated elements 157A, 157B, 157C, etc.  
which collectively form the complete elongated body  
157. Preferably the distalmost elongated element 157A  
is fixed to flexible body 148 while the remaining  
elongated elements 157A, 157B, 157C, etc. are free to  
15 slide on flexible body 148. With this version of the  
invention, elongated body 157 may be formed in situ by  
moving elongated elements 157A, 157B, 157C, etc.  
distally, with distalmost elongated element 157A  
acting as a distal stop, and then keeping elongated  
20 elements 157A, 157B, 157C, etc. biased distally with a  
holding mechanism, e.g., a crimp CR.

In Figs. 25-32, and in Figs. 32A-32C, elongated elements 157A, 157B, 157C, etc. are shown configured so as to form a substantially straight elongated body 157. However, if desired, elongated elements 157A, 157B, 157C, etc. could have alternative configurations so as to form other body shapes. Thus, for example, in Fig. 32D elongated elements 157A, 157B, 157C, etc. are shown forming a curved elongated body 157, and in Fig. 32E elongated elements 157A, 157B, 157C, etc. are shown forming a composite curved-and-straight elongated body 157. It will be appreciated that still other shapes may be formed by elongated elements 157A, 157B, 157C, etc. In this respect, it will be appreciated that the shapes of elongated body 157 may be established either by (1) forming elongated elements 157A, 157B, 157C, etc. so that they have only one possible way of being assembled together, or (2) by forming elongated elements 157A, 157B, 157C, etc. so that they have multiple ways of being assembled together. In this latter situation, one possible way to vary the final configuration of elongated body 157

is by individually rotating various ones of elongated elements 157A, 157B, 157C, etc., e.g., such as is shown in Figs. 32D and 32E.

As noted above, it is also possible to form the elongated body 184 of system 181 (Fig. 12) in situ from a plurality of smaller elements.

Thus, for example, in Figs. 33 and 34 there is shown an alternative form of elongated body 184 which comprises a plurality of substantially rigid elongated elements 184A, 184B, 184C, etc. which collectively form the complete elongated body 184. In addition, elongated elements 184A, 184B, 184C, etc. preferably include connectors C for permitting one elongated element to be secured to a neighboring elongated element. The connectors C shown in Fig. 25 comprise male and female screw type connectors; however, other types of connectors may also be used.

By assembling the elongated body 184 in situ using a plurality of elongated elements 184A, 184B, 184C, etc., it is possible to create an elongated body

184 which is perfectly sized to the needs of the patient.

The elongated body 184 shown in Figs. 33 and 34 may be used as follows. First, guidewire 103 is  
5 passed down coronary sinus 30 (Fig. 35). Then push cannula 187 is used to push a plurality of elongated elements 184A, 184B, 184C, etc. down guidewire 103 and into the coronary sinus (Figs. 36 and 37). As many elongated elements 184A, 184B, 184C, etc. are used as  
10 is necessary to effect the desired leaflet coaptation (Fig. 37).

In Figs. 37A-37C, there is shown another form of elongated body 184. More particularly, with this form of elongated body, the elongated body 184 is formed by  
15 a plurality of elongated elements 184A, 184B, 184C, etc. which collectively form the complete elongated body 184. Preferably, all of the elongated elements 184A, 184B, 184C, etc. are free to slide on guidewire 103. With this version of the invention, elongated  
20 body 184 may be formed in situ by moving elongated elements 184A, 184B, 184C, etc. distally and then

drawing them tightly together, e.g., such as by using a cinching system such as that shown in Fig. 37C and comprising a distal member DM and a crimp CR.

Again, in Figs. 33-37, and in Figs. 37A-37C,  
5 elongated element 184A, 184B, 184C, etc. are shown configured so as to form a substantially straight elongated body 184. However, if desired, elongated elements 184A, 184B, 184C, etc. could have alternative configurations so as to form other body shapes. Thus,  
10 for example, in Fig. 37D elongated elements 184A, 184B, 184C, etc. are shown forming a curved elongated body 184, and in Fig. 37E elongated elements 184A, 184B, 184C, etc. are shown forming a composite curved-and-straight elongated body 184. It will be  
15 appreciated that still other shapes may be formed by elongated elements 184A, 184B, 184C, etc. In this respect it will be appreciated that the shapes of elongated body 184 may be established either by (1) forming elongated elements 184A, 184B, 184C, etc. so  
20 that they have only one possible way of being assembled together, or (2) by forming elongated

elements 184A, 184B, 184C, etc. so that they have multiple ways of being assembled together. In this latter situation, one possible way to vary the final configuration of elongated body 184 is by individually rotating various ones of elongated elements 184A, 184B, 184C, etc., e.g., such as is shown in Figs. 37D and 37E.

Looking next at Figs. 37F-37I, there is shown another form of push rod 109 having an elongated body 157 formed by a plurality of elongated elements 157A, 157B, 157C, etc. Each of the elongated elements 157A, 157B, 157C, etc. is attached to flexible body 148 and is separated from adjacent elongated elements by a gap G. By orienting gaps G radially away from mitral valve 36 (Fig. 37H), push rod 109 will be able to curve as required so as to follow the natural curvature of the coronary sinus, e.g., during insertion of push rod 109 into coronary sinus 30. However, by rotating flexible body 148 about its axis so that gaps G are oriented 180 degrees opposite to that shown in Fig. 37H (i.e., as shown in Fig. 37I),



gaps G will be closed and push rod 109 will be  
straightened, whereby to apply an anteriorly-directed  
force to the posterior annulus of mitral valve 36 and  
reduce mitral regurgitation.

5           Looking next at Figs. 37J and 37K, there is shown  
another form of the invention. In this construction,  
an internal member IM has a plurality of slots SI and  
an external member EM has a plurality of slots SE.  
Internal member IM is concentrically received within  
10 external member EM. By orienting internal member IM  
and external member EM so that slots SI are aligned  
with slots SE (Fig. 37J), internal member IM and  
external member EM may be curved as required so as to  
follow the natural curvature of the coronary sinus,  
15 e.g. during insertion of the members into the coronary  
sinus. However, by orienting internal member IM and  
external member EM so that slots SI are oriented away  
from slots SE (Fig. 37K), internal member IM and  
external member EM will be straightened, whereby to  
20 apply an anteriorly-directed force to the posterior

annulus of the mitral valve and reduce mitral regurgitation.

It is also possible to form elongated body 157 of push rod 109 (Fig. 3) with an inflatable construction. More particularly, and looking next at Fig. 38, there is shown a push rod 109 having an inflatable elongated body 157 in the form of a balloon B. The push rod's flexible body 148 includes an inflation lumen L which communicates with the interior of balloon B, whereby fluid may be supplied to the interior of the balloon so as to inflate the balloon. The balloon B is constructed so that it has a flexible configuration when it is in a deflated condition and an elongated, straight configuration when it is in an inflated condition.

The push rod 109 of Fig. 38 may be used as follows. First, guidewire 103 is advanced into the coronary sinus 30 (Fig. 4). Then delivery cannula 106 is advanced over guidewire 103 until the distal end of the delivery cannula is in coronary sinus 30 (Fig 5). Next, guidewire 103 is withdrawn (Fig. 6). Then push

rod 109, with elongated body 157 in a deflated condition, is advanced along the interior of delivery cannula 106 so that balloon B is adjacent to the mitral valve (Fig. 39). Then balloon B is inflated, using inflation lumen L, so that elongated body assumes its elongated, straightening configuration (Fig. 40). As this occurs, the posterior annulus of the mitral valve is compressed anteriorly, so as to reduce mitral regurgitation.

It is also possible to form an inflatable elongated body 157 of push rod 109 with other configurations. By way of example, it is possible to form an inflatable body 157 with a piston-type configuration, whereby the body may be elongated or shortened as desired. More particularly, and looking now at Figs. 41 and 42, inflatable body 157 may comprise a distal portion 157' and a proximal portion 157'', with the distal and proximal portions being in a sliding, piston-like relationship. As a result, fluid may be supplied to the combined interiors of the distal and proximal portions, so as to force the two

elements apart relative to one another. In use, the push rod 109 of Figs. 41 and 42 is positioned in its "compressed" state (Fig. 41), passed down the interior of delivery cannula 106 until inflatable elongated body 157 is positioned adjacent to the mitral valve, and then inflated (using inflation lumen L) into its "expanded" state (Fig. 42). As this occurs, the naturally curved coronary sinus is straightened, thereby pushing the posterior annulus of the mitral valve anteriorly, whereby to reduce mitral regurgitation.

In addition to the foregoing, it should also be appreciated that with respect to push rod 109, the flexible body 148 may comprise an electrical lead for an implantable bi-ventricular pacing device and/or an electrical lead for an implantable cardio defibrillator device, etc. In this case, the distal end of flexible body 148 would be elongated somewhat and would not reside within the coronary sinus; rather, it would be positioned within the tissue which is to receive the electrical stimulus while elongated

body 157 is positioned adjacent to the mitral valve.

Such a construction would allow the bi-ventricular  
pacing device and/or the implantable cardio  
defibrillator device to work in conjunction with  
5 elongated body 157 to reduce mitral regurgitation.

It should also be appreciated that the function  
of hydraulic energy employed to enlarge inflatable  
body 157 may be substituted by a mechanical energy  
transformer such as a lead screw mechanism or an  
10 electromechanical solenoid.

In a corresponding fashion, the guidewire 103  
over which elongated body 184 is deployed may also be  
in the form of an electrical lead for an implantable  
bi-ventricular pacing device and/or an electrical lead  
15 for an implantable cardio defibrillator device, etc.

Again, in this case the distal end of the wire will be  
positioned within the tissue which is to receive the  
electrical stimulus while elongated body 184 is  
positioned adjacent to the mitral valve. Such a  
20 construction would allow the implantable

bi-ventricular pacing device and/or the implantable cardio defibrillator device to work in conjunction with elongated body 157 to reduce mitral regurgitation.

5           Looking next at Figs. 43 and 44, there is shown yet another form of the present invention. In this form of the invention, there is provided an elongated shape memory alloy body SMA which is configured to be substantially flexible at a temperature  $T_1$  and  
10 substantially rigid and in a straight configuration at another temperature  $T_2$ , where temperature  $T_2$  is normal body temperature. In this situation, body SMA is brought to temperature  $T_1$ , so that it may be inserted more easily into the natural curvature of the coronary  
15 sinus, e.g., during insertion of body SMA into the coronary sinus (Fig. 43). However, when body SMA thereafter transitions to temperature  $T_2$ , body SMA will assume its straight configuration (Fig. 44), whereby to apply an anteriorly-directed force to the posterior  
20 annulus of the mitral valve and reduce mitral regurgitation. It will be appreciated that the

configuration of body SMA may be other than straight (i.e., "w" shape, etc.) to best displace the posterior annulus anteriorly.

5 In other alternative embodiments, the elongated body may be flexible along at least a portion of its length. Regional flexibility and regional stiffness may allow for straightening of select locations of the coronary sinus and corresponding locations of the posterior mitral annulus. This can cause regions of  
10 the mitral annulus to move anteriorly, thus causing regional improvements in leaflet coaptation. In addition, the elongated body may be formed by two end segments connected together by a filament: by anchoring the two end segments relative to the anatomy  
15 and pulling the filament taught, the naturally curved wall of the coronary sinus can be straightened, whereby to move the posterior mitral annulus anteriorly and thereby reduce mitral regurgitation.

20 It should also be appreciated that the present invention may also be used to alter the shape of other cardiac tissues, including but not limited to the left

ventricle, for other uses, including the treatment of cardiac dysfunction.

It is to be understood that the present invention is by no means limited to the particular constructions  
5 herein disclosed and/or shown in the drawings, but also comprises any modifications or equivalents within the scope of the claims.



What Is Claimed Is:

1. Apparatus for reducing mitral regurgitation,  
the apparatus comprising:

5           a delivery catheter adapted to be passed through  
a vascular system of a patient and into a coronary  
sinus proximate a posterior leaflet of a mitral valve,  
said catheter having at a distal end thereof a  
flexible tubular extension for engaging an opening of  
10          the coronary sinus and entering the coronary sinus;

          a substantially rigid body adapted for  
advancement through said delivery catheter and adapted  
to be positioned in the coronary sinus proximate the  
posterior leaflet; and

15          an elongated rod for advancing said body through  
said catheter and into position proximate the  
posterior leaflet.

2. The apparatus in accordance with claim 1  
wherein said body is fixed to said rod.

20

3. The apparatus in accordance with claim 1 wherein said push rod comprises a plurality of segments joined by flexible interconnections.

5 4. The apparatus in accordance with claim 1 wherein said push rod is of a shape memory alloy.

10 5. The apparatus in accordance with claim 2 wherein said apparatus further comprises a reinforcing tube disposed generally concentrically around said rod and within said catheter.

15 6. The apparatus in accordance with claim 1 wherein the delivery catheter flexible tubular expansion is reduced in diameter.

7. Apparatus for reducing mitral regurgitation, the apparatus comprising:

20 a delivery catheter adapted to be passed through a vascular system of a patient and into a

coronary sinus proximate a posterior leaflet of a  
mitral valve;

a substantially rigid body adapted for  
advancement through said delivery catheter and to be  
5 positioned in the coronary sinus proximate the  
posterior leaflet; and

an elongated rod for advancing said body through  
said catheter and into position proximate the  
posterior leaflet;

10 wherein said body is fixed to said rod.

8. The apparatus in accordance with claim 7  
wherein said push rod comprises a plurality of  
segments joined by flexible interconnections.

15

9. The apparatus in accordance with claim 7  
wherein said push rod is of a shape memory alloy.

10. The apparatus in accordance with claim 7  
20 wherein said apparatus further comprises a reinforcing

tube disposed around said rod and within said catheter.

11. A method for reducing mitral regurgitation,  
5 the method comprising the steps of:

extending a delivery catheter having a  
flexible tubular extension at a distal end thereof  
through a vascular system of a patient until the  
tubular extension engages and enters an opening of a  
10 coronary sinus proximate a posterior leaflet of a  
mitral valve and extends into the coronary sinus; and  
advancing a substantially rigid body through the  
catheter and into the coronary sinus.

12. The method in accordance with claim 11 and  
15 including the further step of removing the catheter.

13. A method for reducing mitral regurgitation,  
the method comprising the steps of:

20 extending a delivery catheter through a vascular  
system of a patient and into a coronary sinus

proximate a posterior leaflet of a mitral valve and  
into the coronary sinus and;

advancing a push rod having a substantially rigid  
body mounted thereon through the catheter until the  
5 body is disposed in the coronary sinus.

14. The method in accordance with claim 13 and  
including the further step of removing the catheter.

10 15. The method in accordance with claim 13 and  
including the further step of mounting a tube over the  
push rod and advancing the tube, along with the push  
rod, through the catheter.

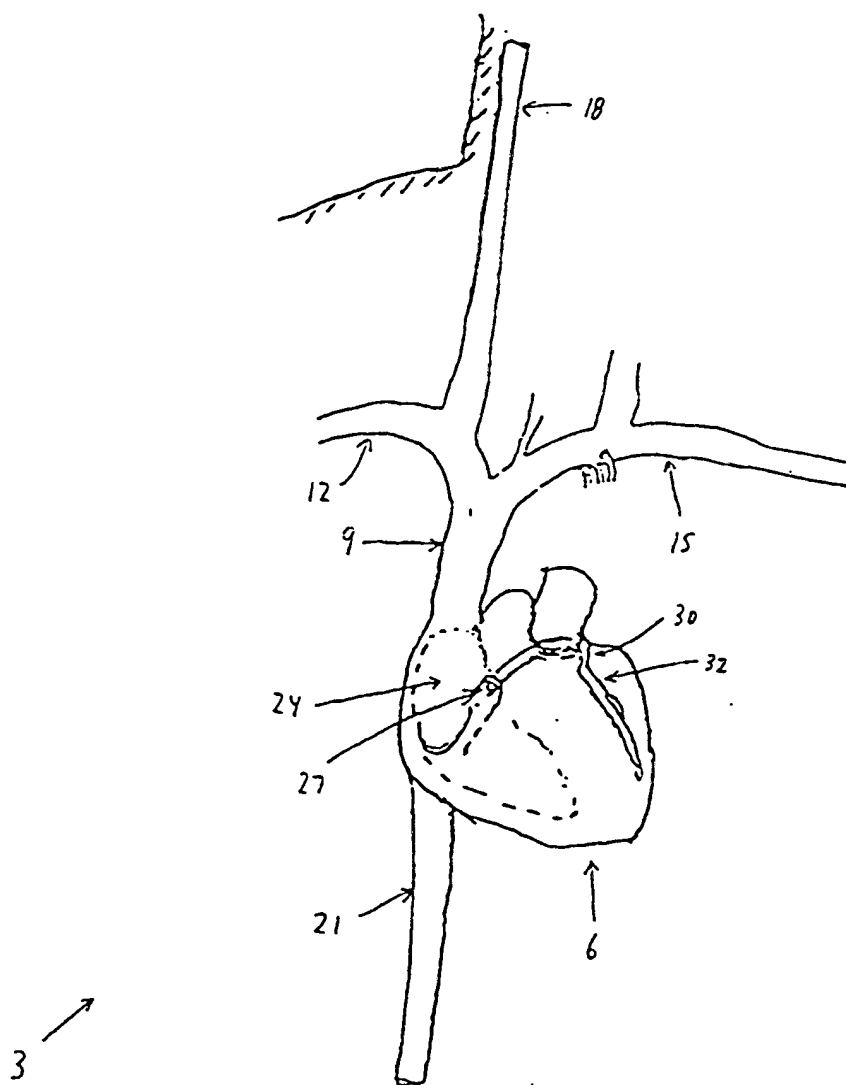


FIG. 1

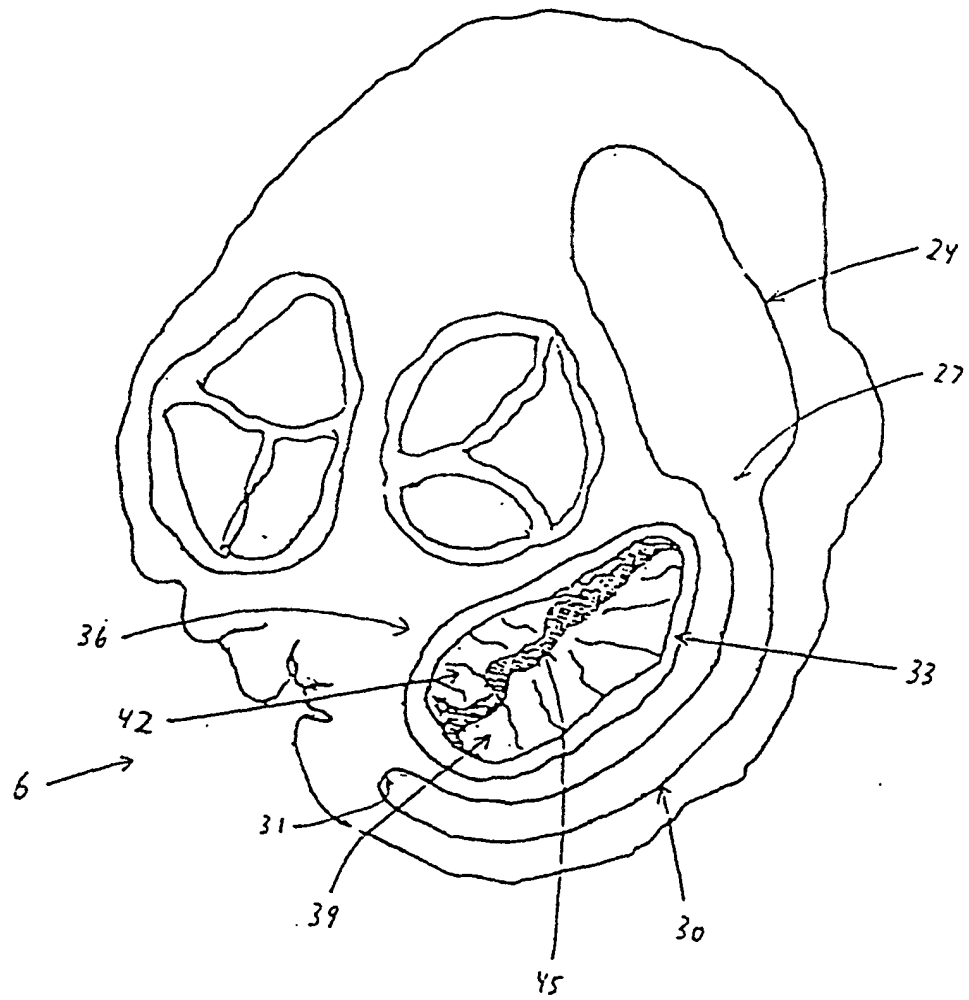
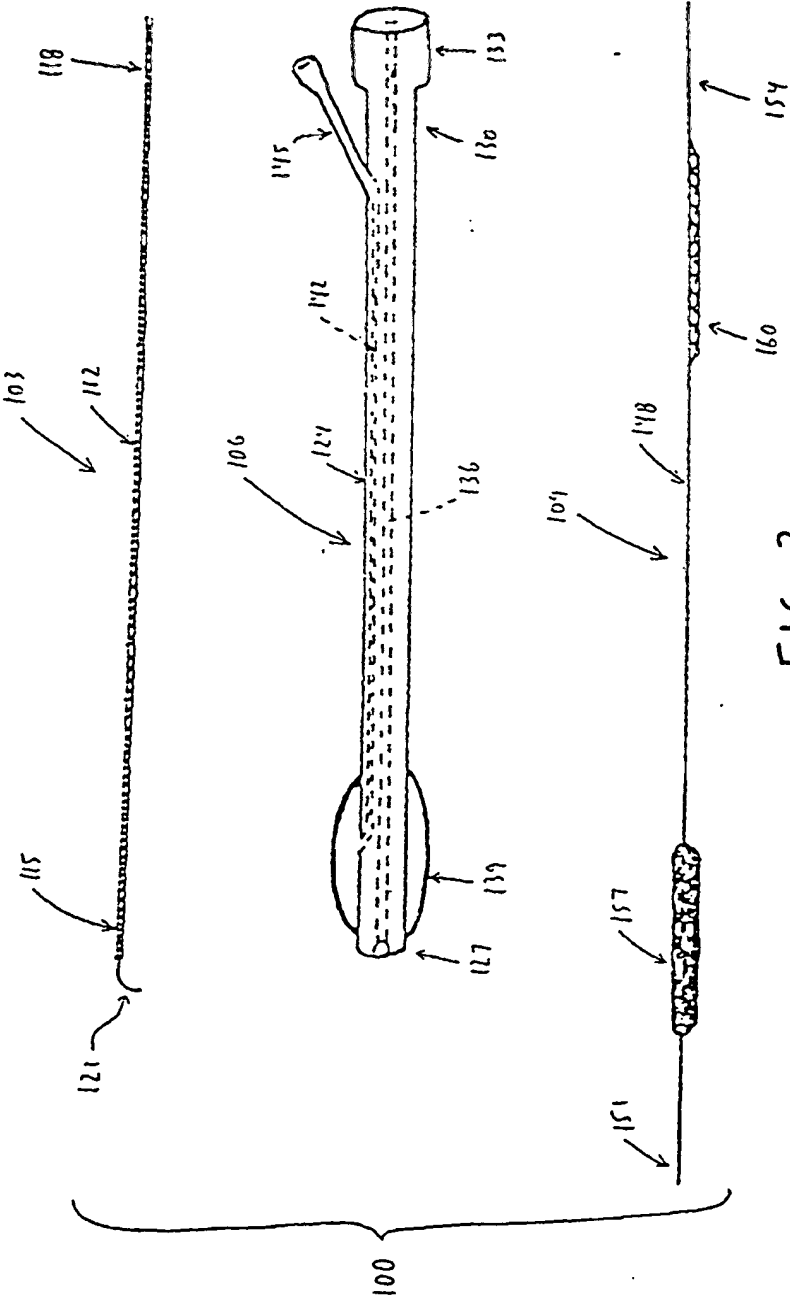


FIG. 2





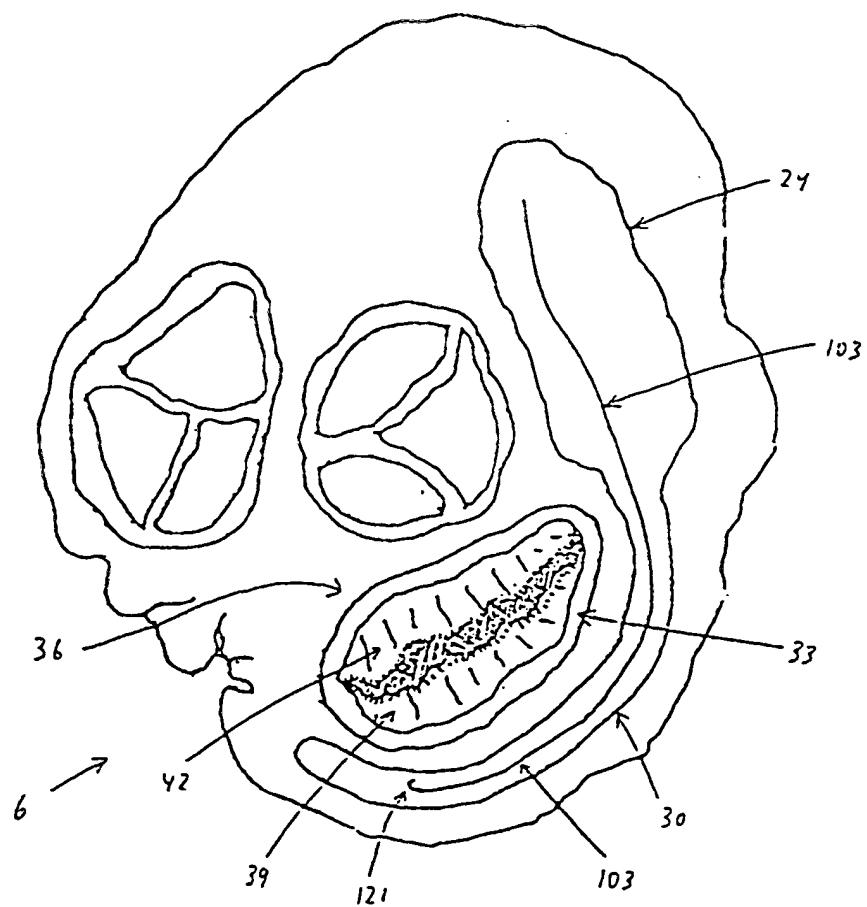


FIG. 4

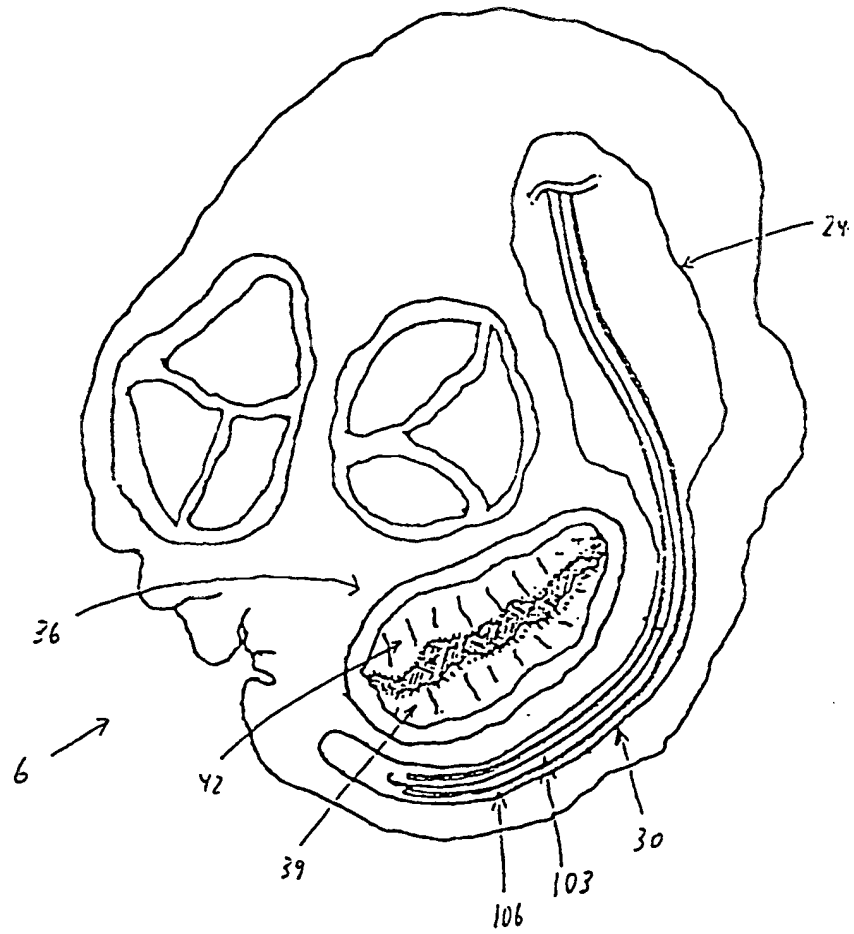


FIG. 5

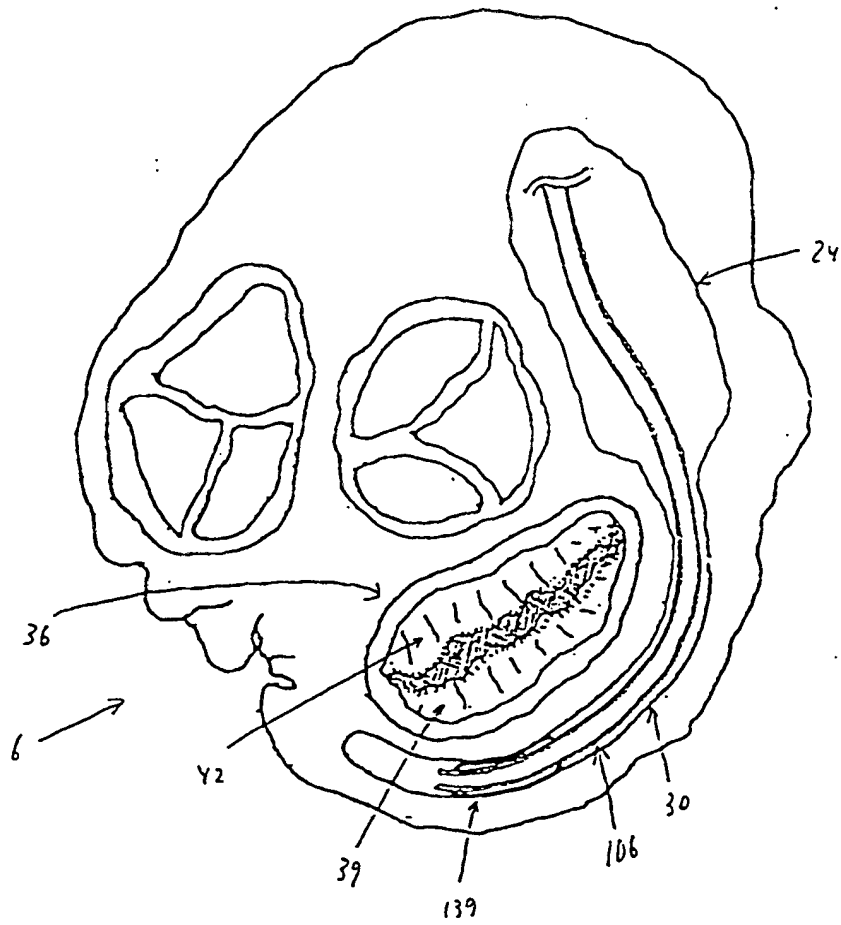


FIG. 6

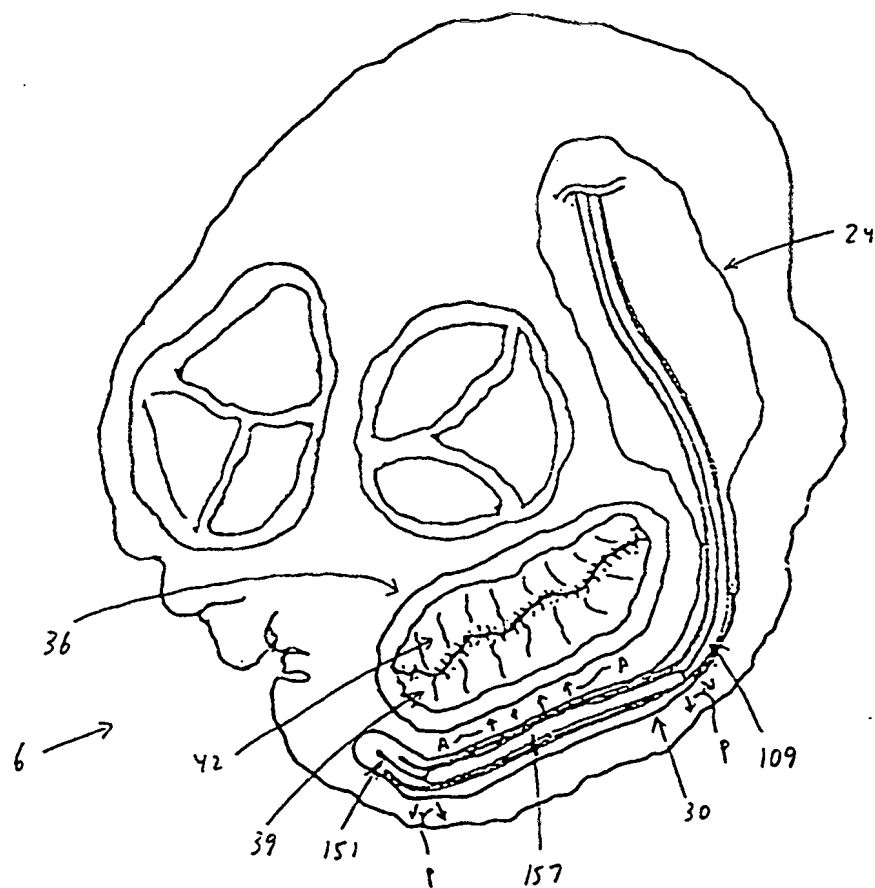


FIG. 7

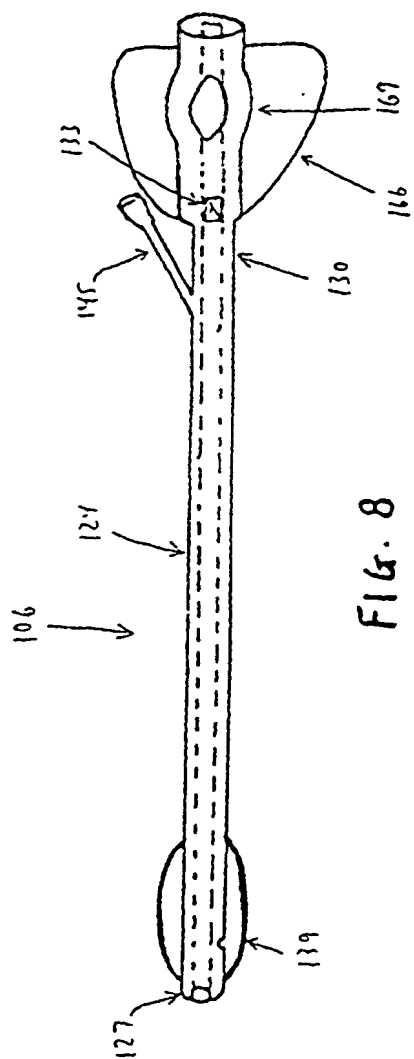


Fig. 8

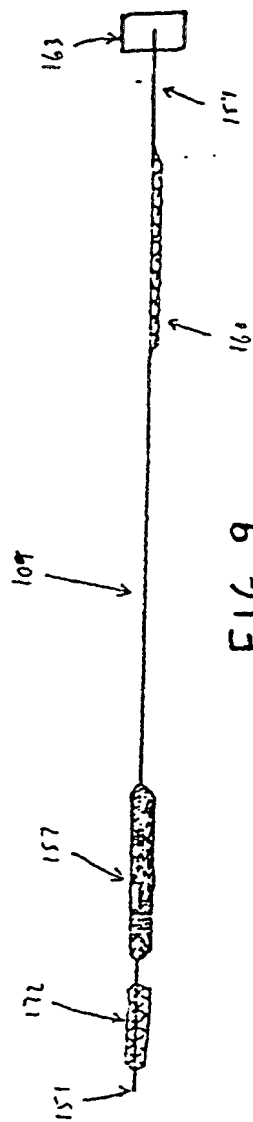
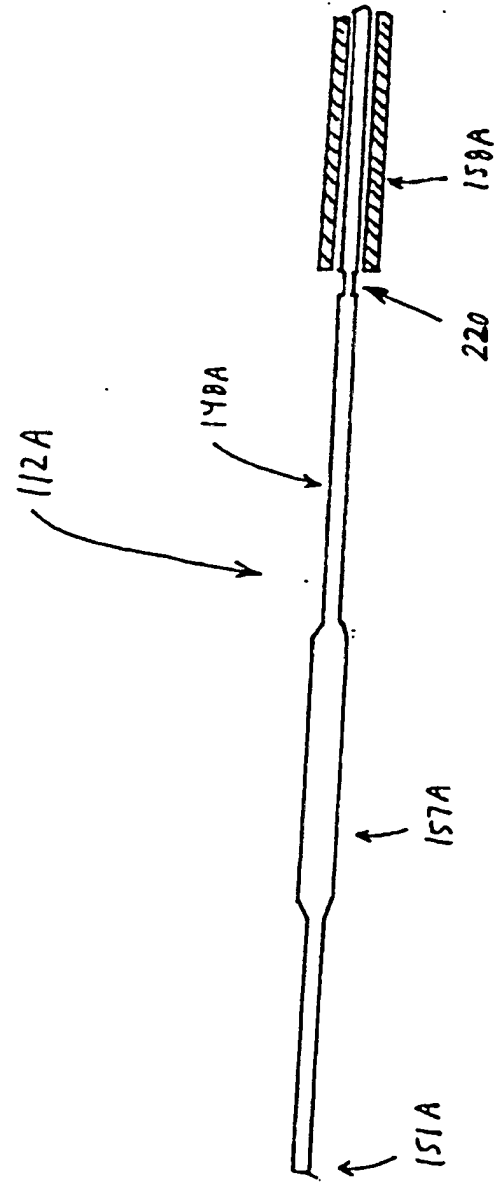
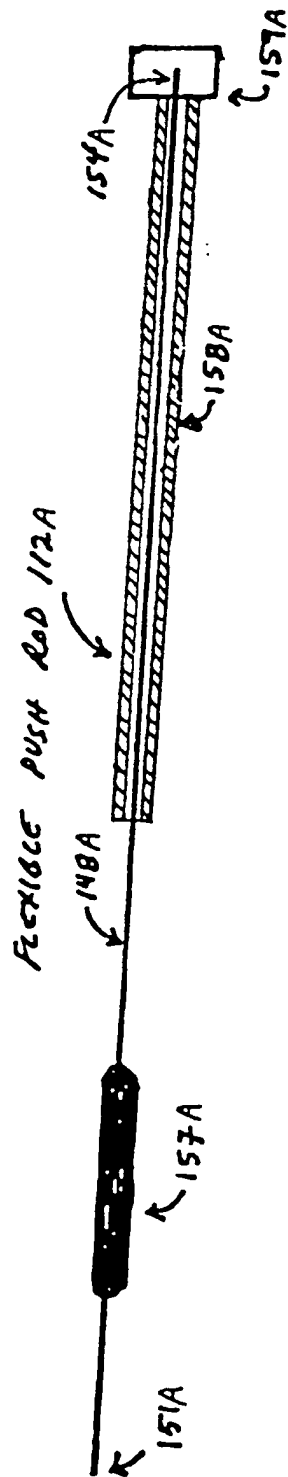


Fig. 9





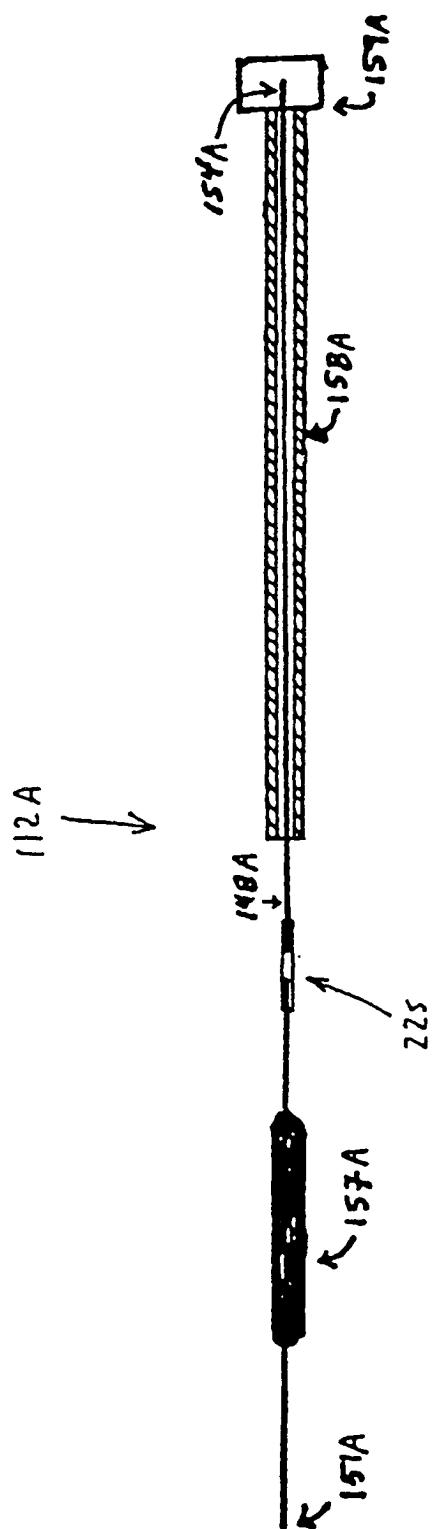


FIG. 9D



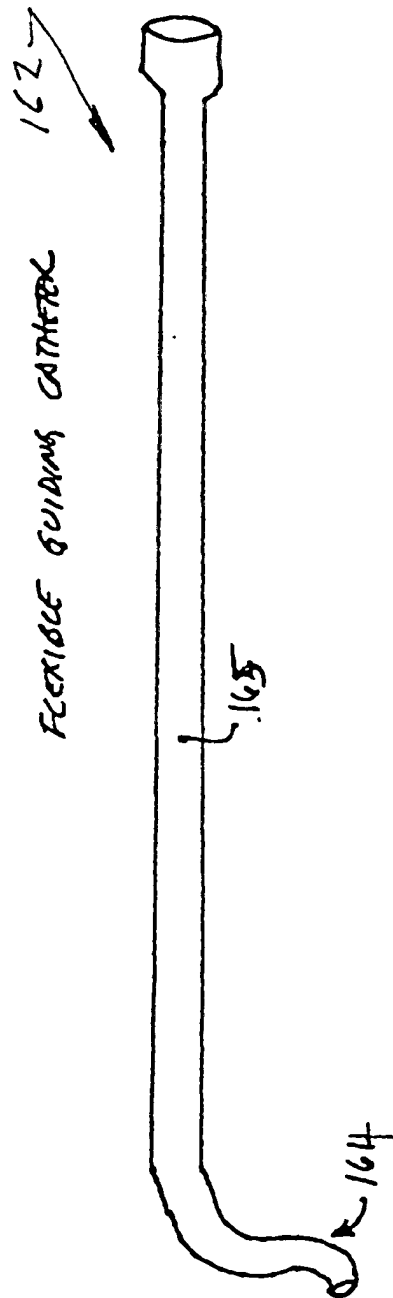
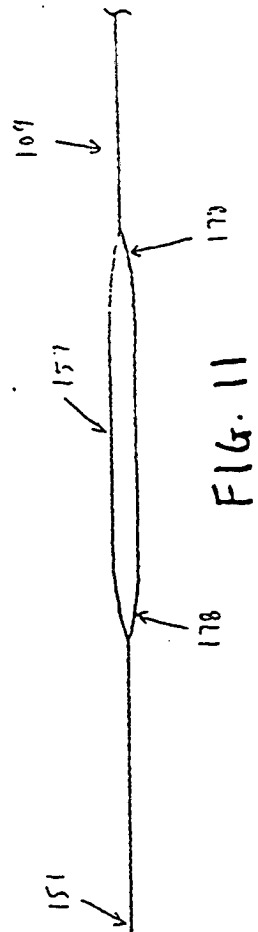
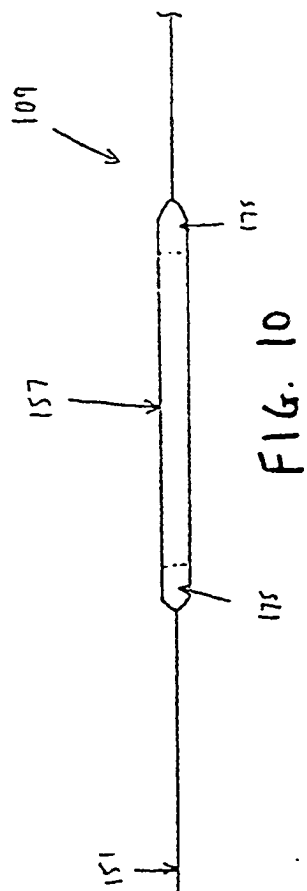


FIG. 9E



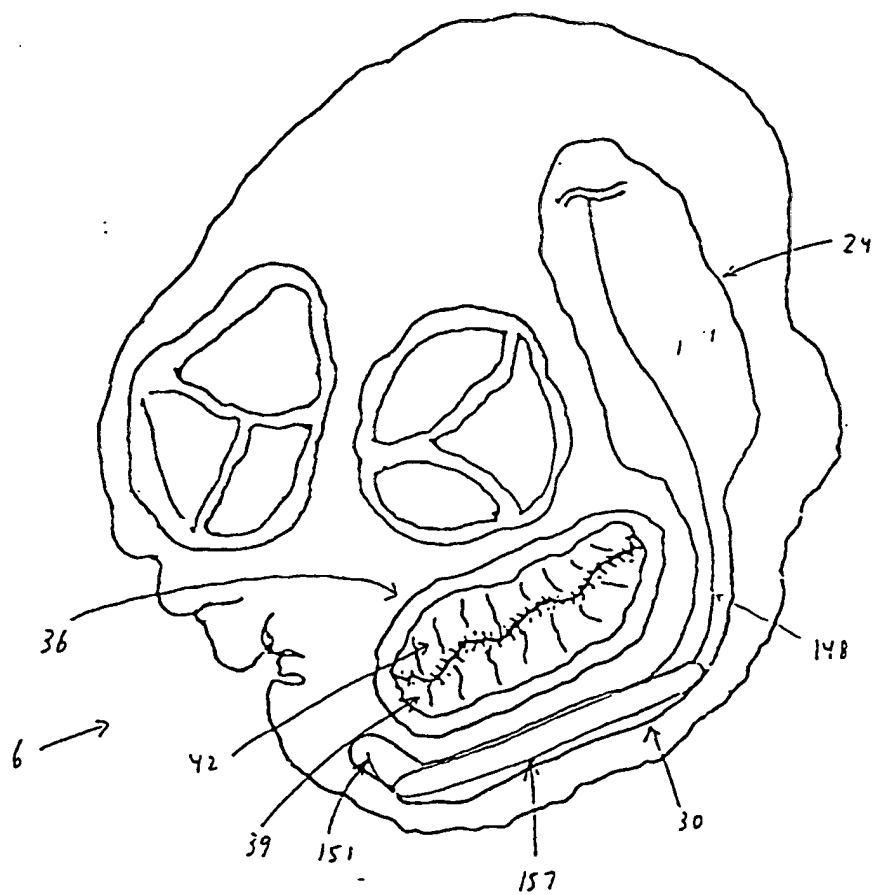


FIG. 11A



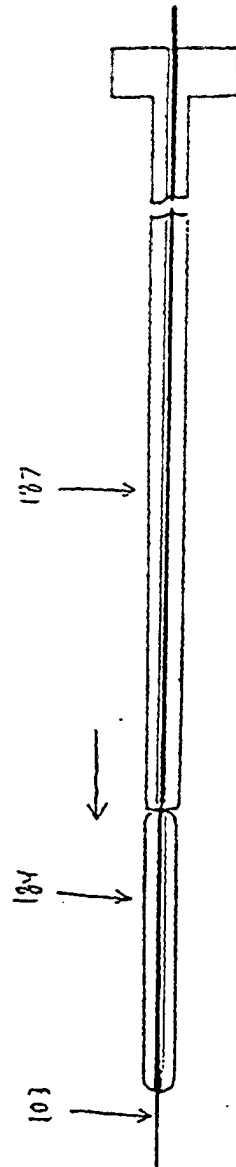


Fig. 13.

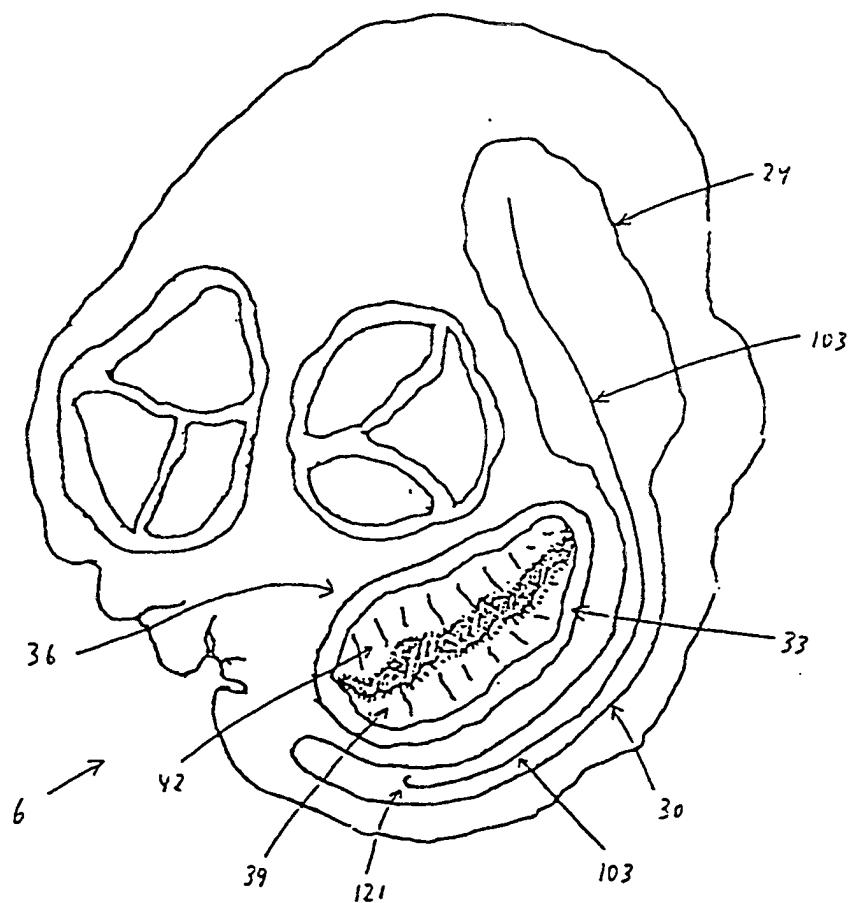


FIG. 14

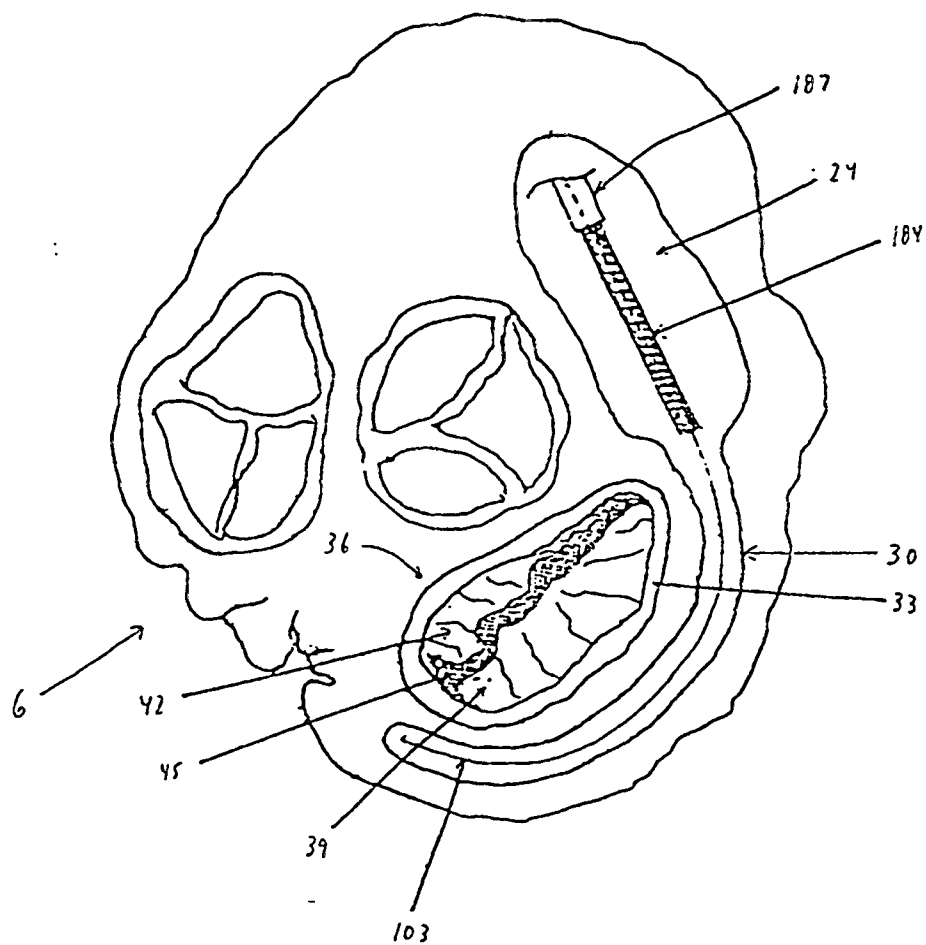


FIG. 15

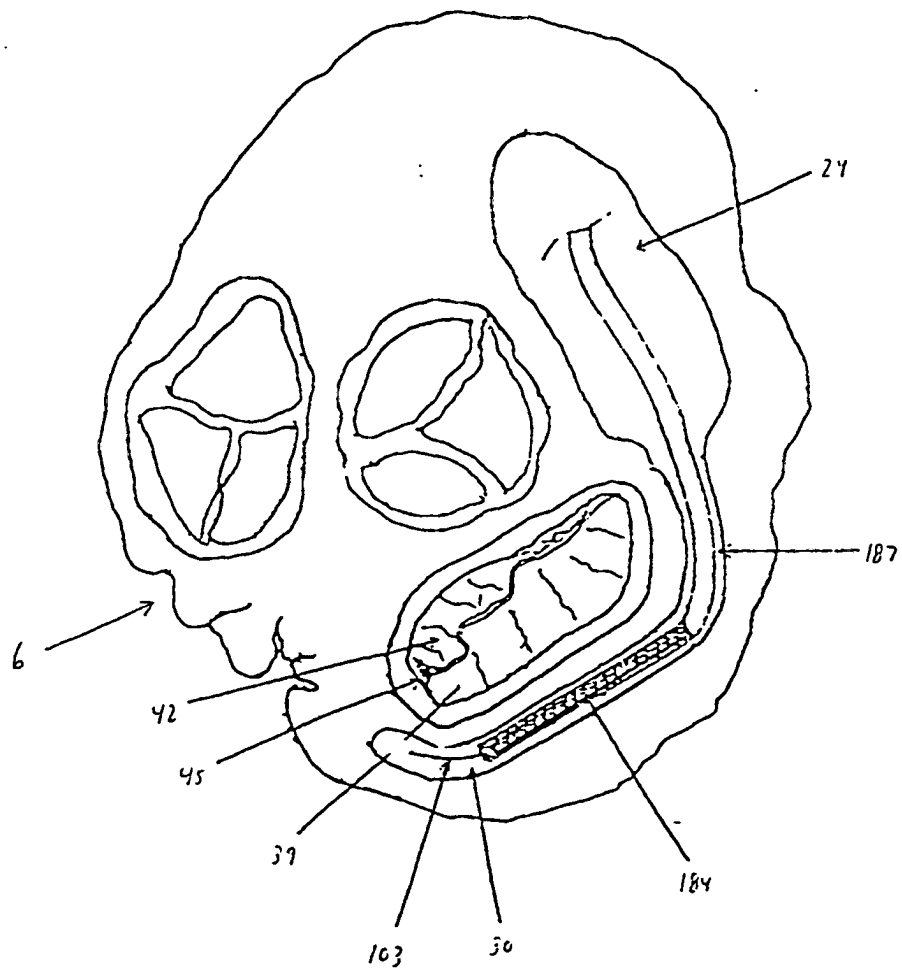


FIG. 16



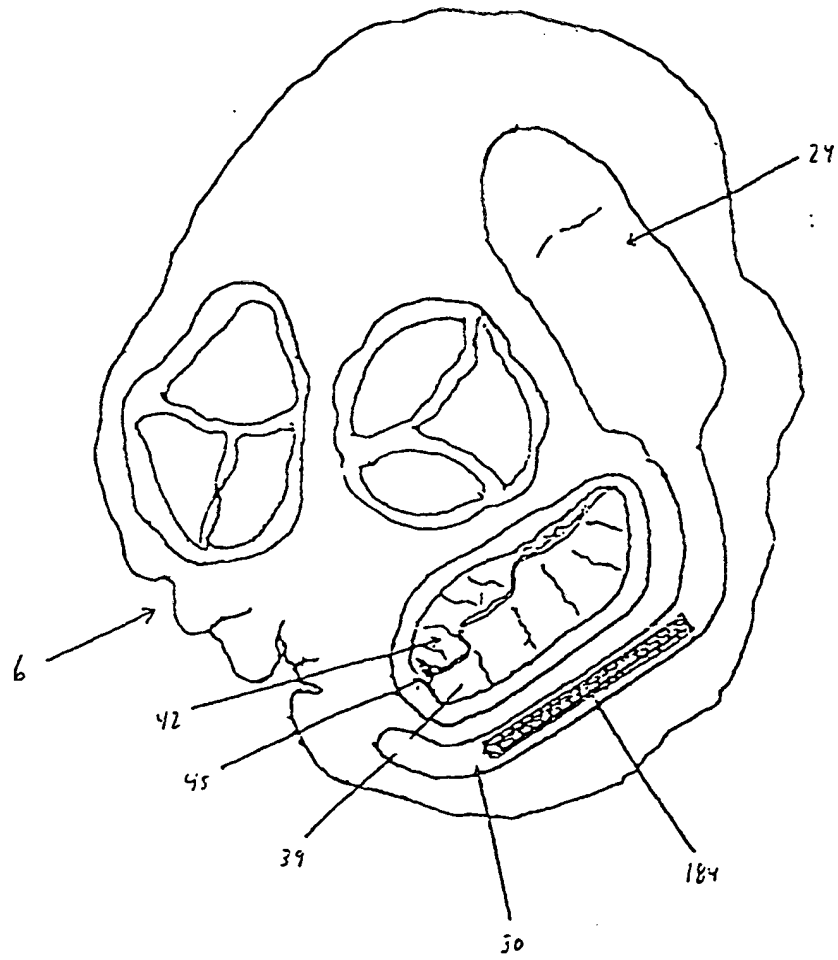
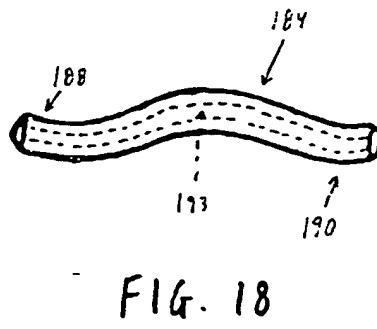
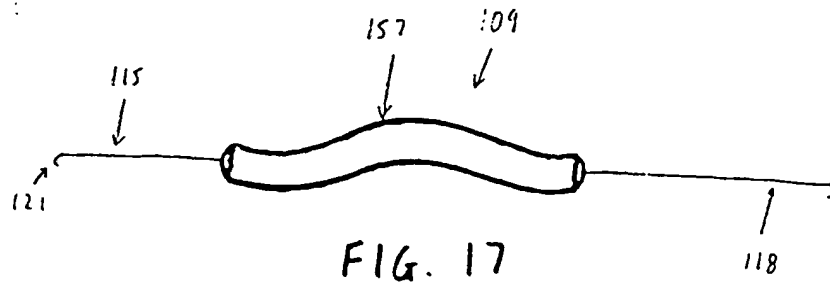


FIG. 16A





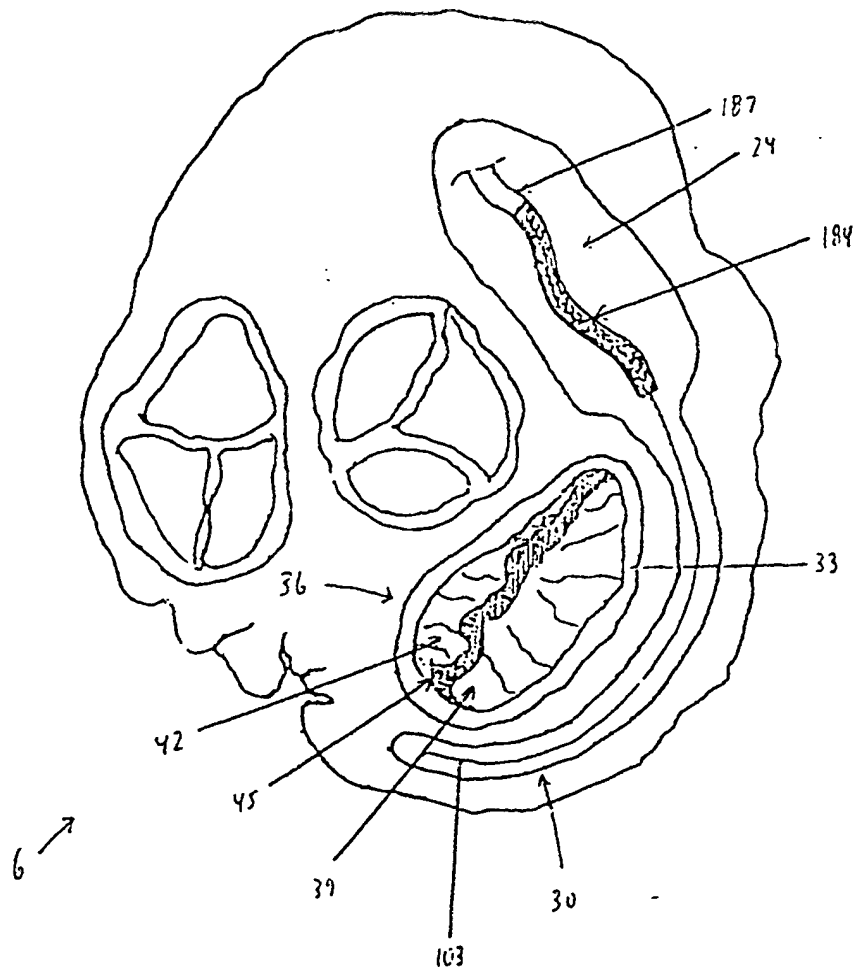


FIG. 19

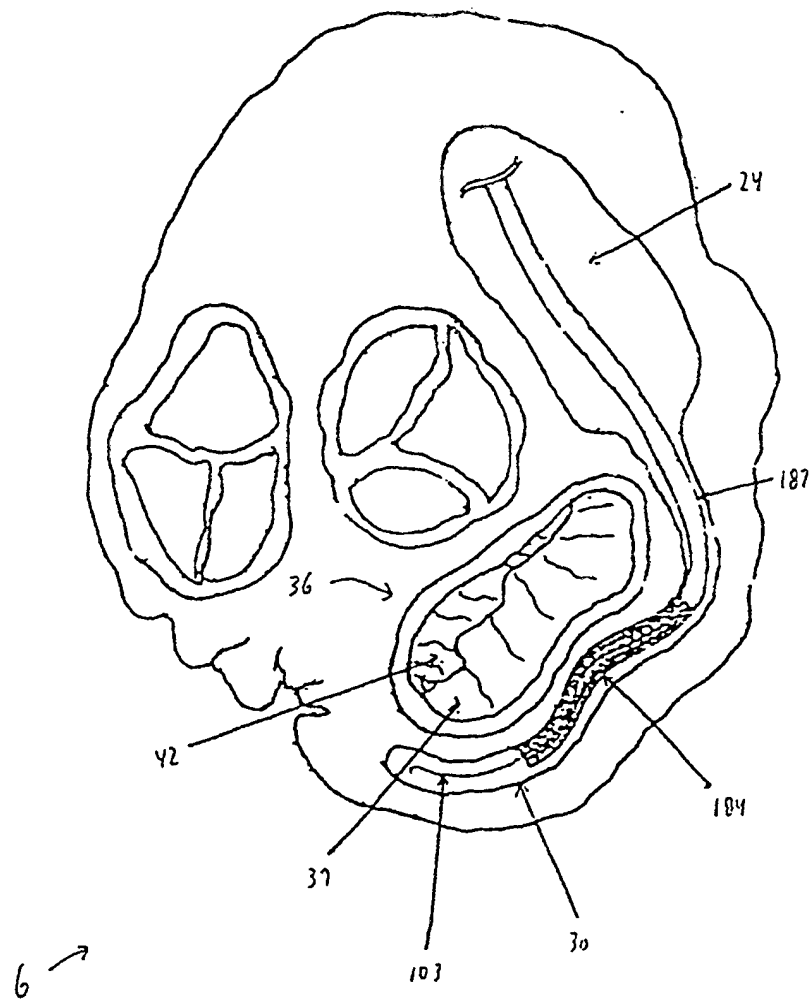


FIG. 20

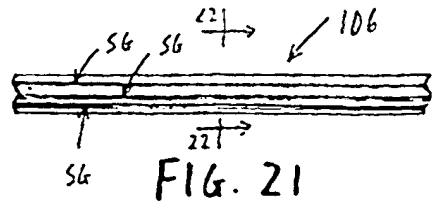


FIG. 21

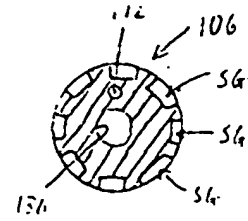


FIG. 22

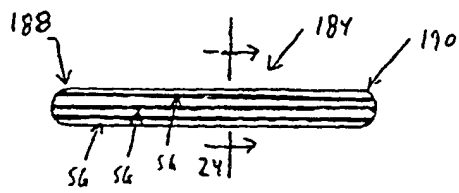


FIG. 23

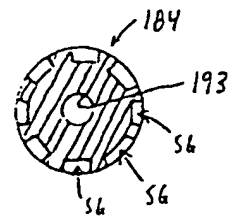
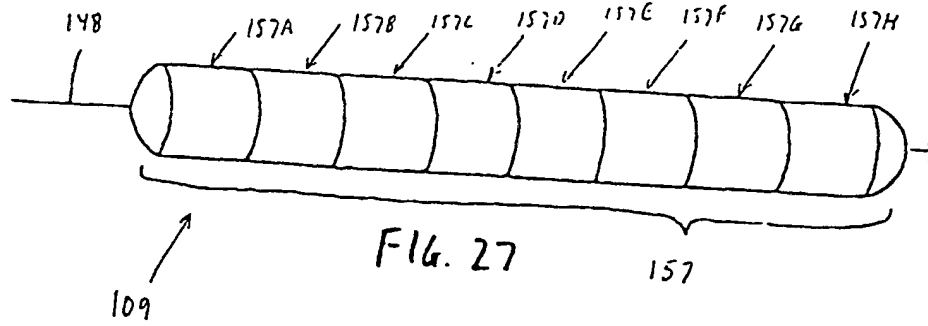
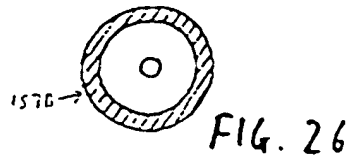
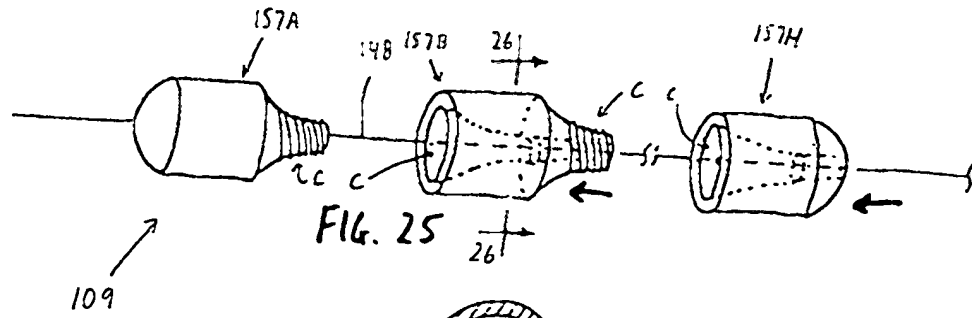


FIG. 24



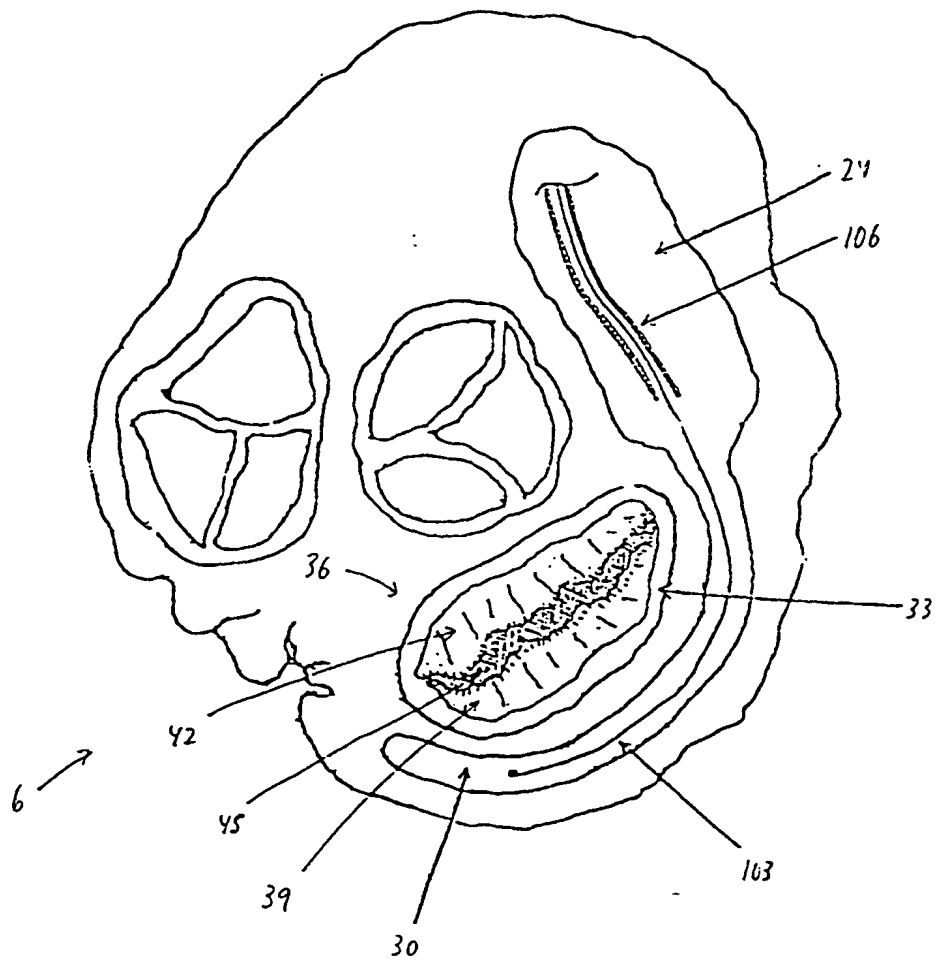


FIG. 28



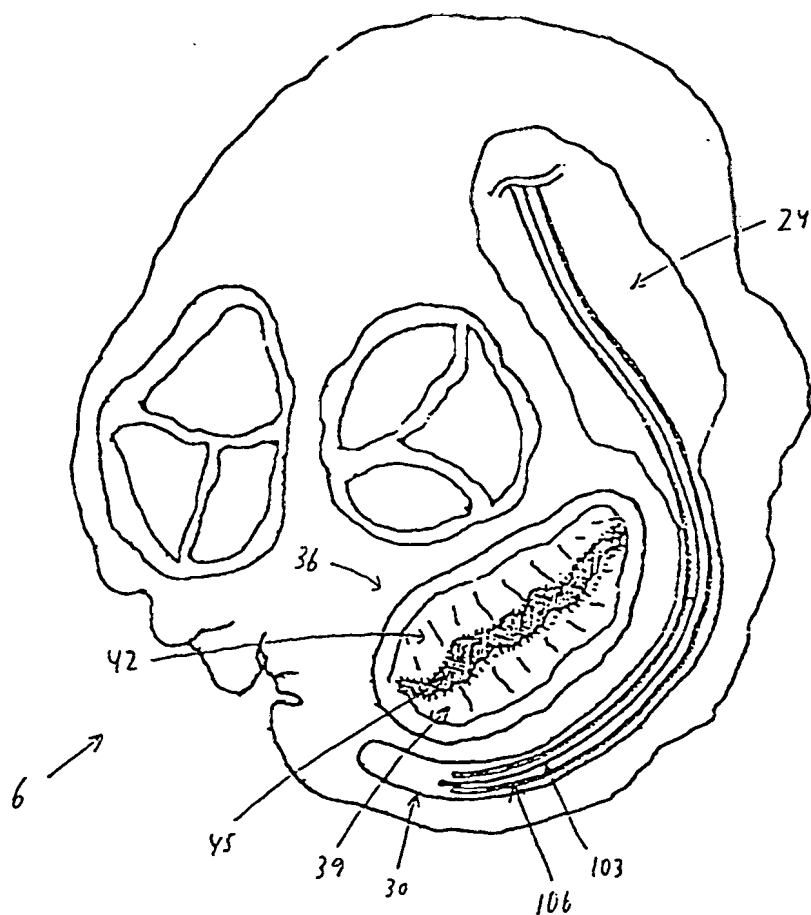


FIG. 29

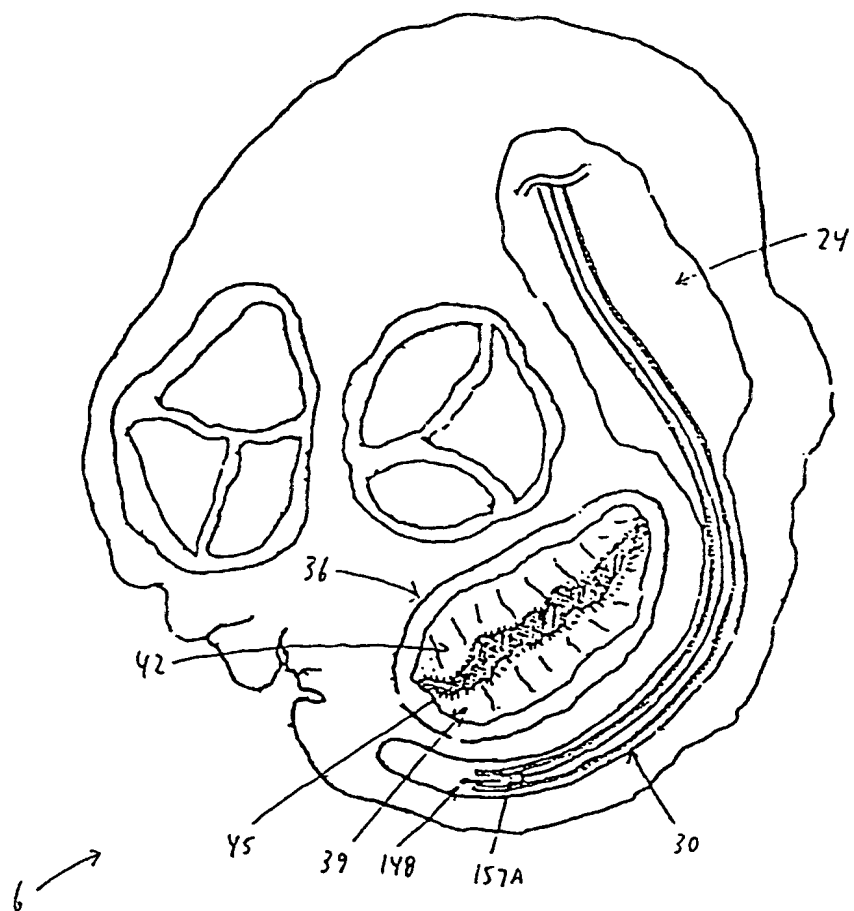


FIG. 30

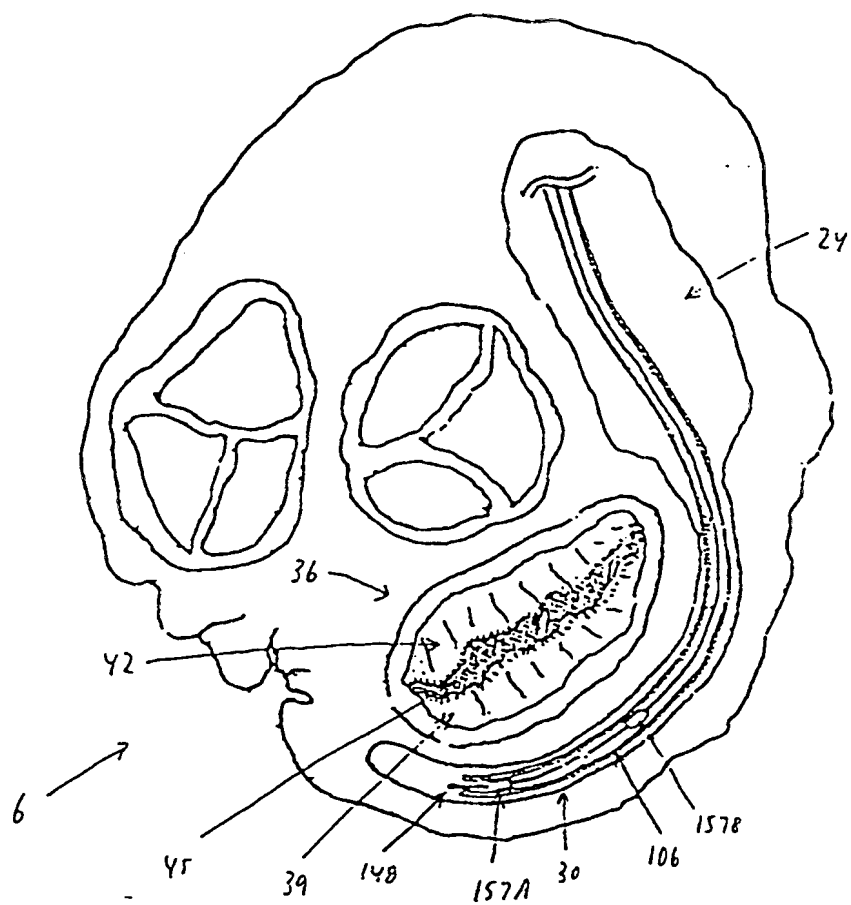


FIG. 31

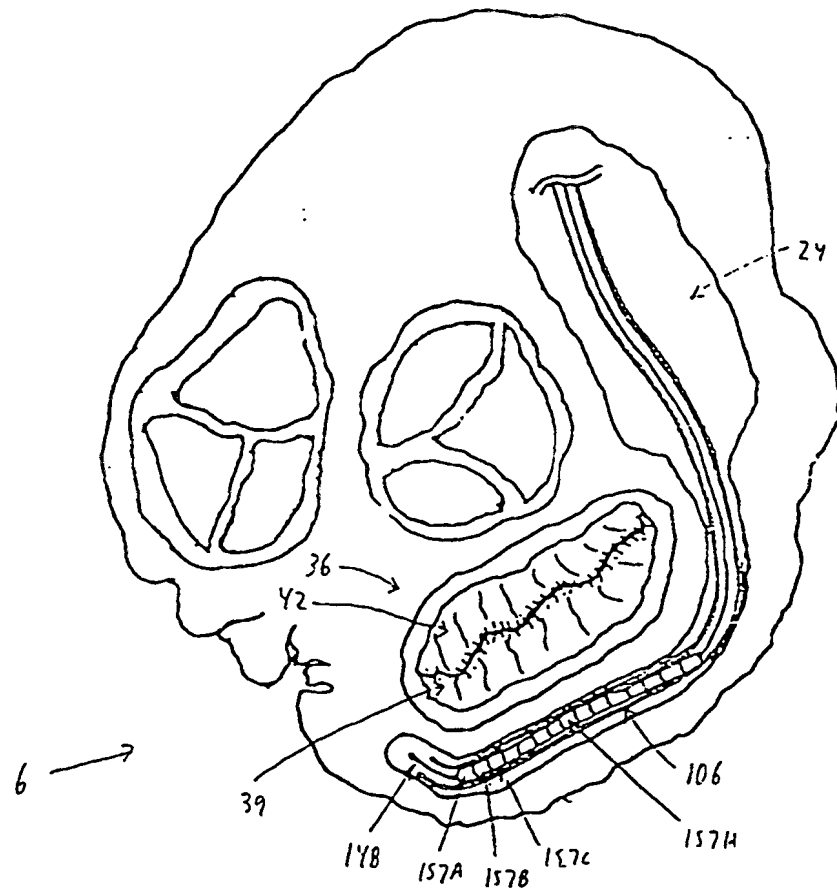
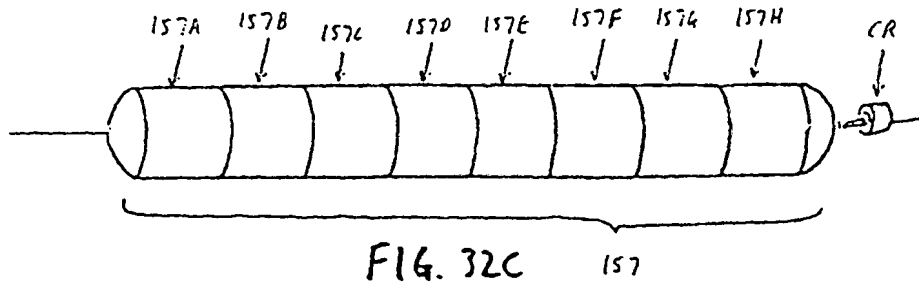
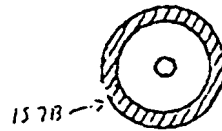
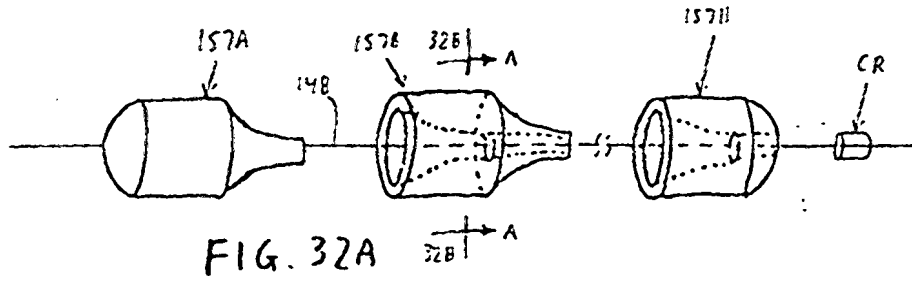


FIG. 32



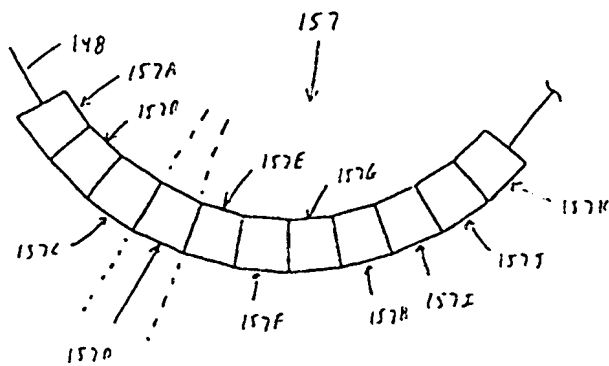


FIG. 32D

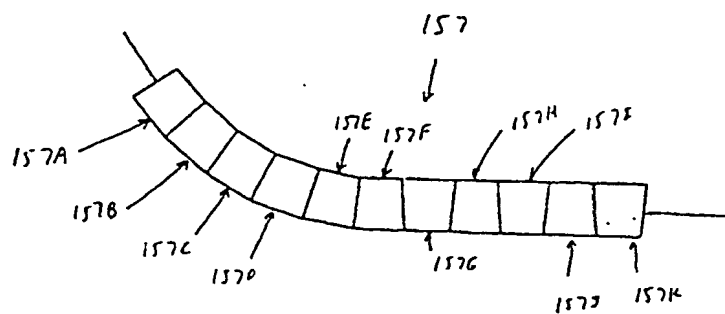


FIG. 32E

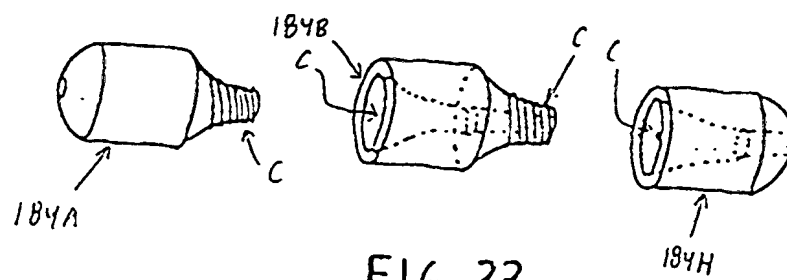


FIG. 33

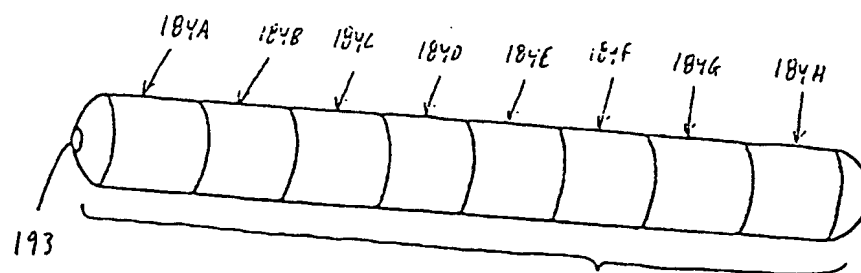


FIG. 34

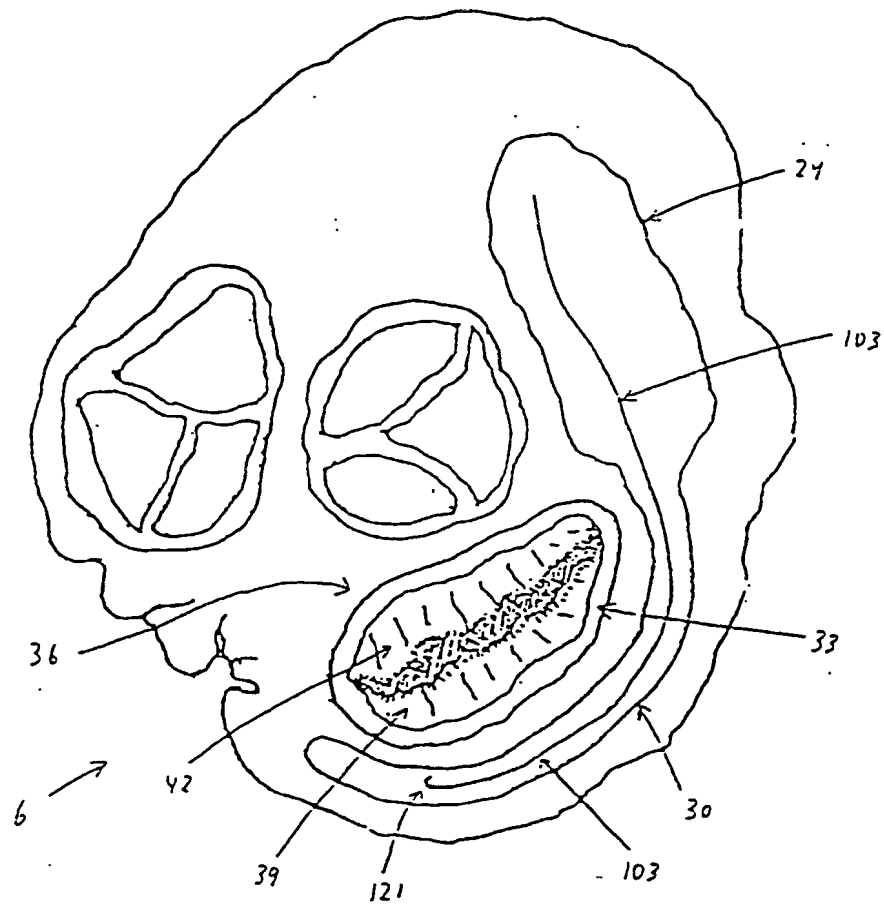


FIG. 35



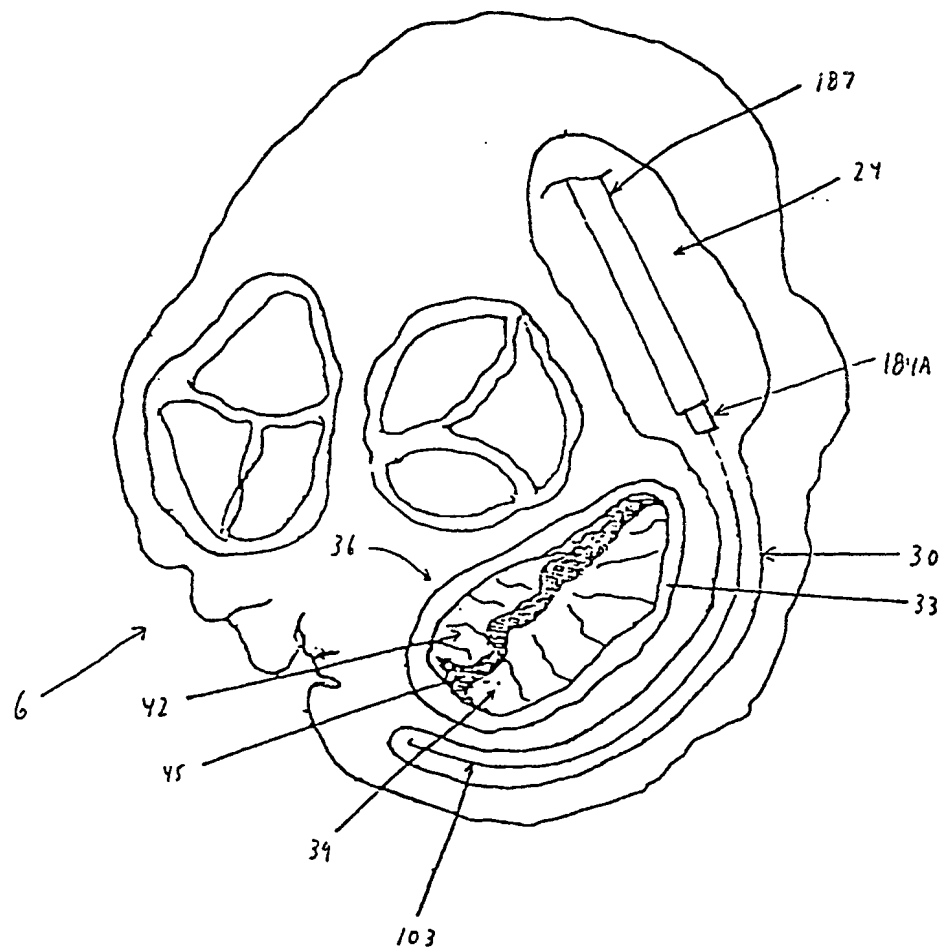


FIG. 36

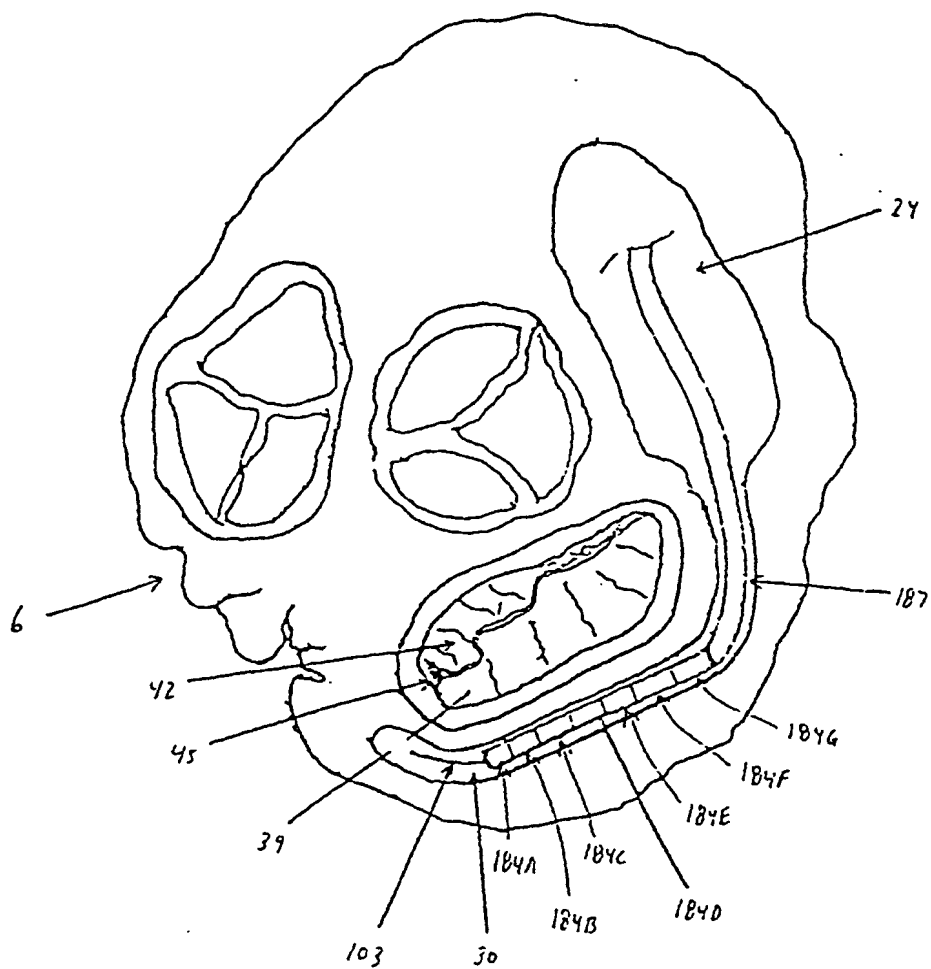
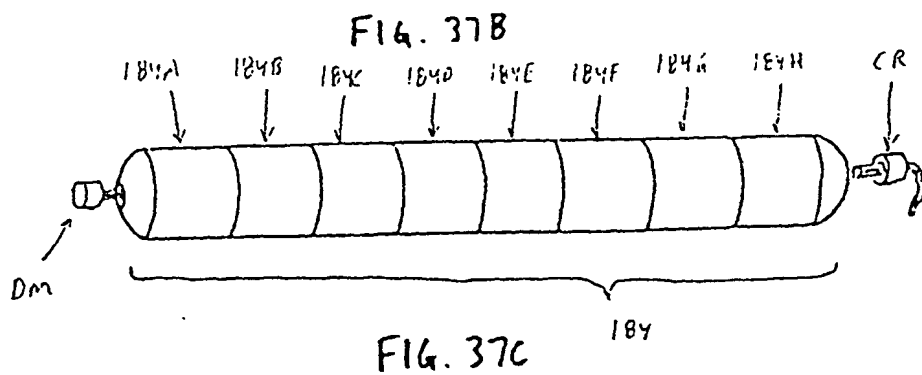
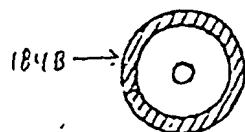
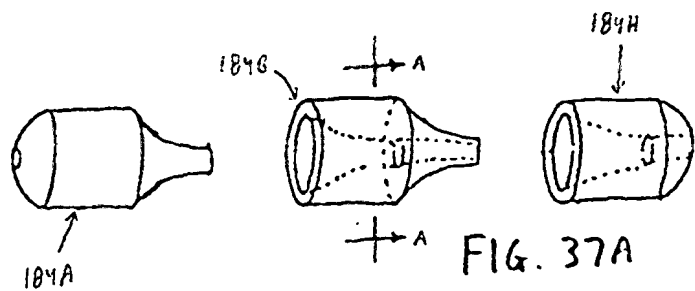


FIG. 37



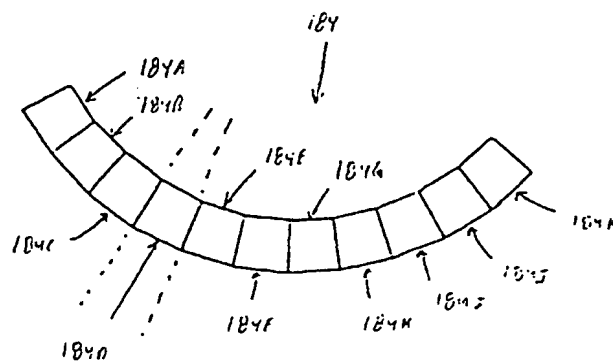


FIG. 37D

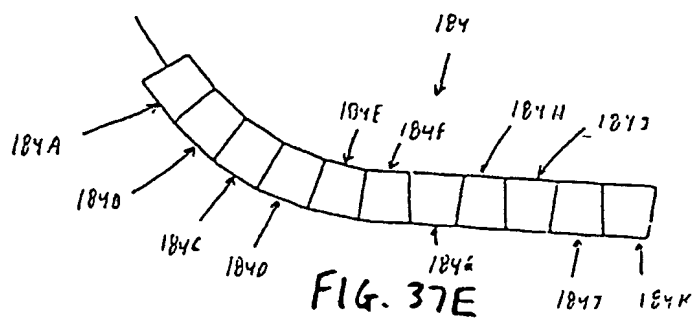


FIG. 37E

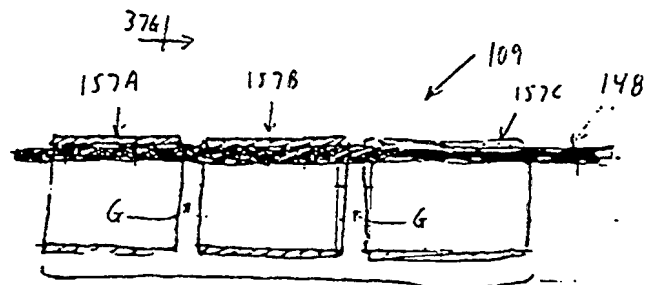


FIG. 37F 157

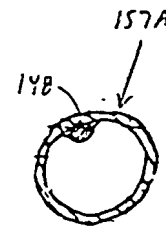


FIG. 37G

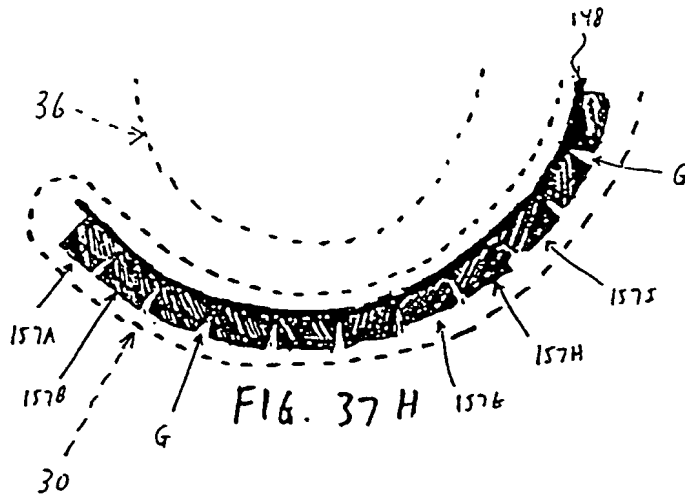


FIG. 37H

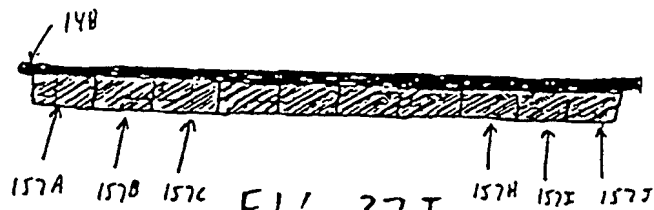
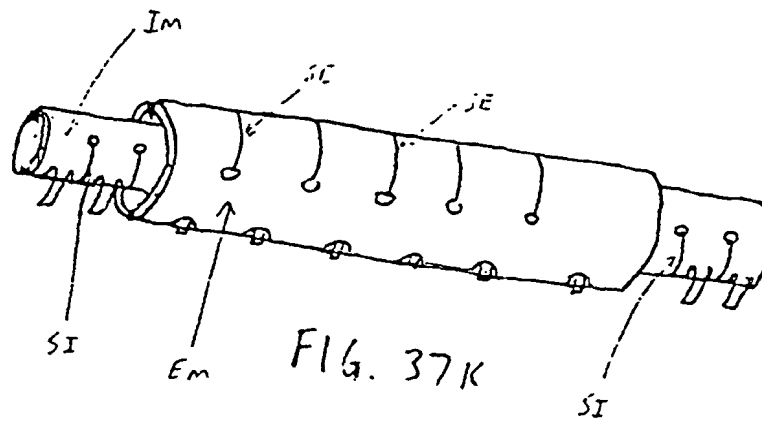
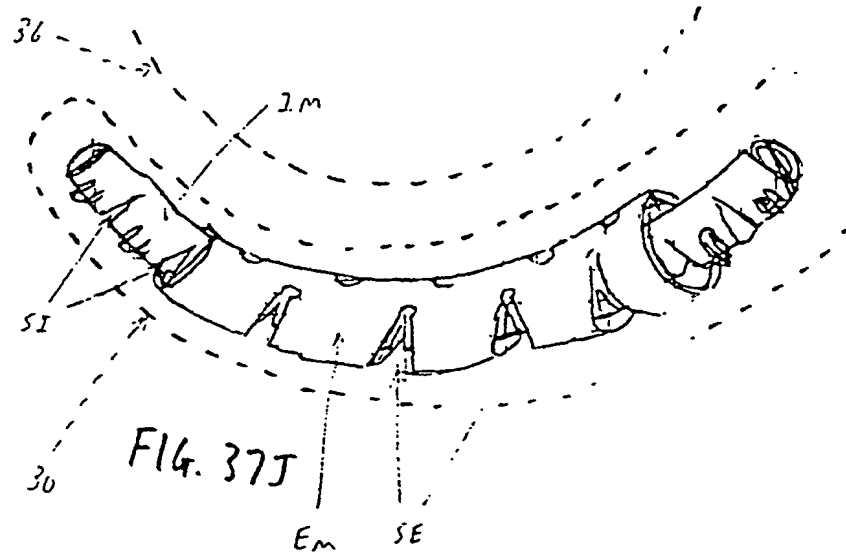
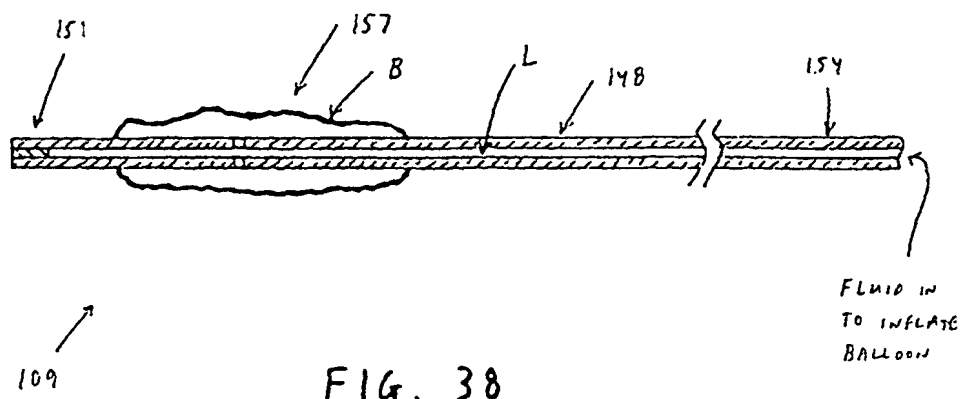


FIG. 37I





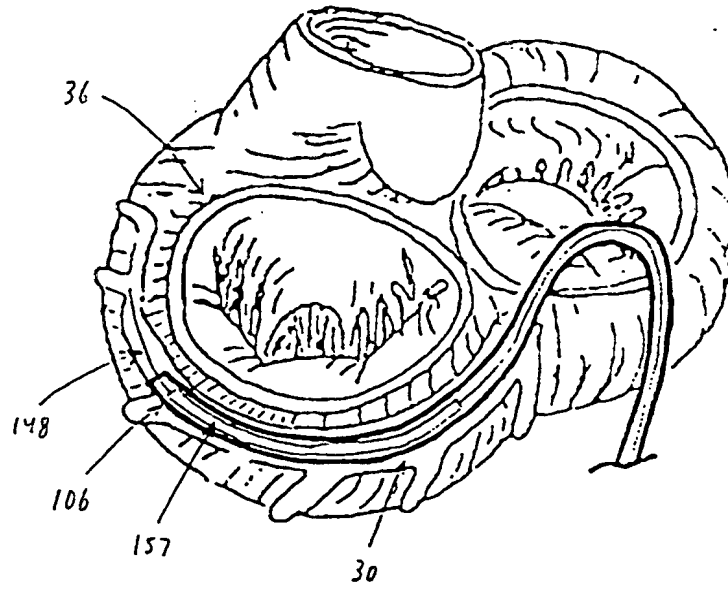


FIG. 39



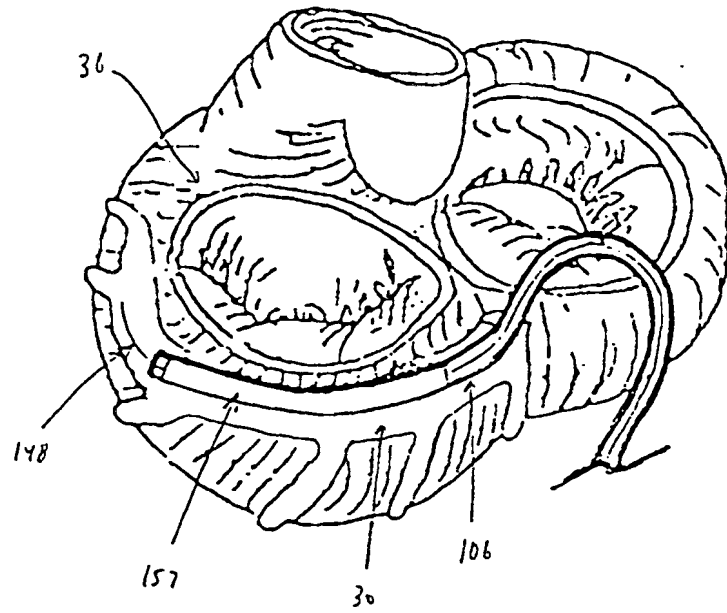


FIG. 40

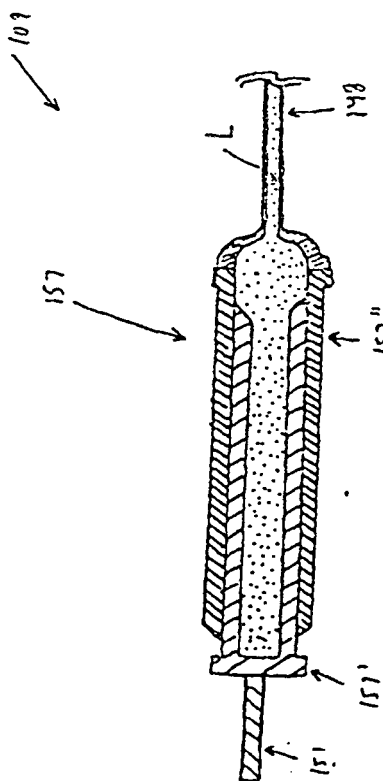


FIG. 41

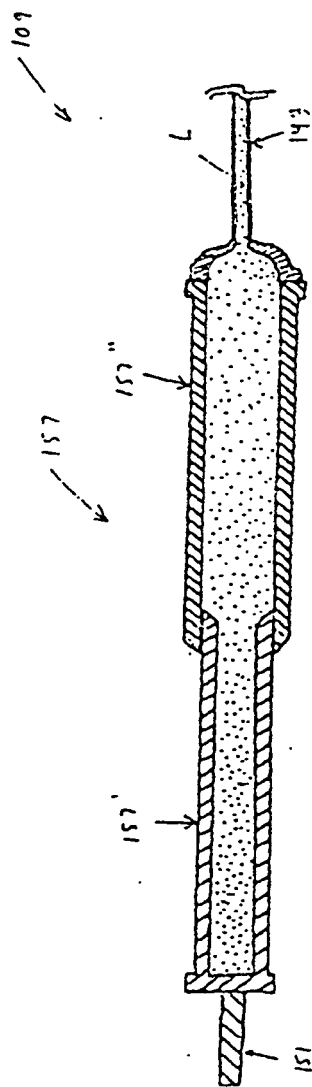
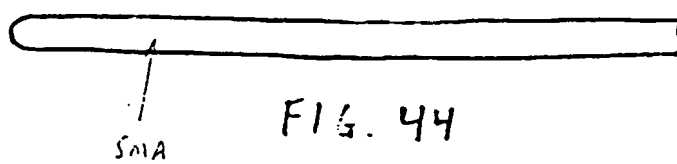
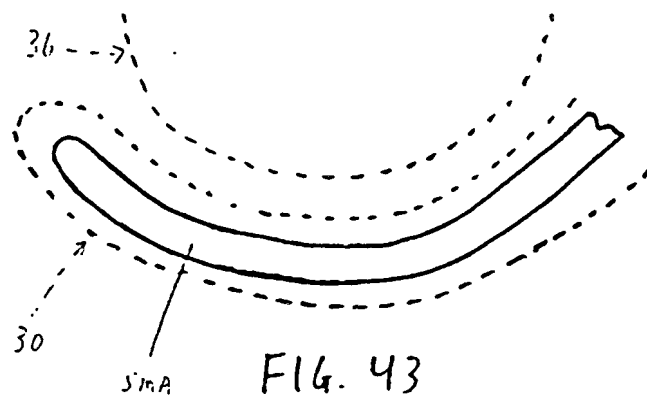


FIG. 42



(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
27 February 2003 (27.02.2003)

PCT

(10) International Publication Number  
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(22) International Filing Date: 14 August 2002 (14.08.2002)

(25) Filing Language: English

(26) Publication Language: English

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60/348,424	14 January 2002 (14.01.2002)	US
10/068,264	5 February 2002 (05.02.2002)	US
10/112,354	29 March 2002 (29.03.2002)	US

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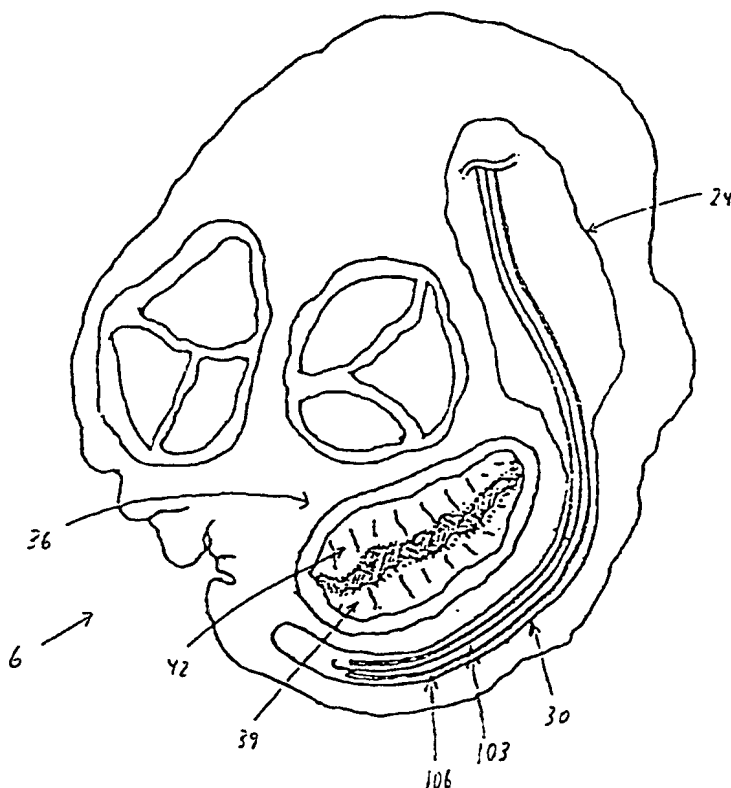
(74) Agent: **FOSTER, Scott, R.**; Pandiscio & Pandiscio, 470 Totten Pond Road, Waltham, MA 02154-1914 (US).

(81) Designated States (*national*): AE, AG, AI, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),

[Continued on next page]

(54) Title: METHOD AND APPARATUS FOR IMPROVING MITRAL VALVE FUNCTION



(57) Abstract: An apparatus for reducing mitral regurgitation includes a delivery catheter (106) extendable through a vascular system of a patient and into a coronary sinus (30) proximate a posterior leaflet (39) of a mitral valve (36). The catheter has at its distal end a flexible tubular extension for engaging an opening of the coronary sinus and entering the coronary sinus. A substantially rigid body is inserted via the catheter and is positioned in the coronary sinus proximate the posterior leaflet. An elongated rod is used to push the rigid body through the catheter and into the coronary sinus.

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European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

(88) Date of publication of the international search report:  
16 October 2003

**Published:**

-- with international search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/25890

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A 61F 2/06

US CL : 623/1.11

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 623/1.11,2.36,2.37;606/108,191

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 6,165,194 A (Denardo) 26 December 2000, Fig. 6, col. 2, lines 25-29, col. 3, lines 8-12, col. 5, lines 26-34.	1,2,6,7
---		
Y		4,9
X, P	US 6,402,781 B1 (Langberg et al) 11 June 2002, see entire document.	1,2,6,7,11-14
---		
Y,P		3-5,8-10,15
Y	US 5,389,091 A (Moorehead) 14 February 1995, col. 8, lines 58-68, Fig. 7, col. 10, lines 10-16, col. 16, lines 16-36.	1,5,10,11,15
X	US 6,210,432 B1 (Solem et al) 3 April 2001, see entire document.	7,13,14
---		
Y		1-4,6,8,9,11,12

☐ Further documents are listed in the continuation of Box C.☐ See patent family annex.

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"T"

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"Y"

document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"&amp;"

document member of the same patent family

Date of the actual completion of the international search

12 November 2002 (12.11.2002)

Date of mailing of the international search report

15 APR 2003

Name and mailing address of the ISA/US

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Authorized officer

Brian Pellegrino

Telephone No. 703-306-5899

# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US02/25890

## Box I Observations where certain claims were found unsearchable (Continuation of Item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claim Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
2. ☐ Claim Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claim Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of Item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐  
☐

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

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